



Annual Activity Report 2020

Annexes

SERVICE FOR FOREIGN POLICY INSTRUMENTS

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ANNEX 1: Statement of the Head of Unit in charge of Risk Management and Internal Control

I declare that in accordance with the Commission's communication on the internal control framework¹, I have reported my advice and recommendations on the overall state of internal control in the Service to the Head of Service.

I hereby certify that the information provided in the present Annual Activity Report and in its annexes is, to the best of my knowledge, accurate and complete.

Date 31 March 2021

[signed]

Marika Lautso-Mousnier

Head of Unit FPI.5

and

Head of Unit in charge of Risk Management and Internal Control² of FPI

¹ C(2017)2373 of 19 April 2017

² Due to the "lean" structure of FPI, this function is assigned to the Head of Unit level.

ANNEX 2: Performance tables

General objective 1: A stronger Europe in the world			
Impact indicator 1: The European Union's voice counts in the world according to its citizens Source of the data: Eurobarometer			
Baseline (2019)	Interim Milestone ³	Target ⁴ (2024)	Latest known results (year 2020)
	(2022)		
73,91%	Increase	Increase	69%

Instrument contributing to Stability and Peace

Specific objective 1a: Fast and effective EU action for crisis response, conflict prevention and peace in line with EU priorities and complementary to multilateral action			Related to spending programme: IcSP
Result indicator 1.a.1: Percentage of actions (programmes/projects) that address a new and/or emerging crisis Source of data: FPI.2			
Baseline (2020)	Interim Milestone	Target ⁵ (2024)	Latest known results (2020)
	(2022)		
60%	70%	75%	87%

³ In case of short- or medium-term objectives (all targets are set to be achieved in less than 3 years) the milestones column should be deleted from the table.

⁴ Please refer to the FPI Strategic Plan 2020-2024

⁵ Please refer to the FPI Strategic Plan 2020-2024

<p>Result indicator 1.a.2: Percentage of actions adopted within 3 months of a crisis context (period from date of presentation to PSC)⁶</p> <p>Source of data: FPI.2</p>				
Baseline (2017-2019)	Interim Milestones		Target ⁷ (2024)	Latest known results (2020)
	(2022)	(2020)		
73%	80%	75%	85%	86%
<p>Result indicator 1.a.3: Number of actions (programmes/projects) that support/complement multilateral actions/actors</p> <p>Source of data: FPI.2</p>				
Baseline (2017-2019)	Interim Milestone		Target ⁸ (2024)	Latest known results (2020)
	(2022)			
50%	55%		60%	78%

Main outputs in 2020:			
Other important output			
Output description	Indicator 1.a.4	Target	Latest known results (situation on 31/12/2020)
IcSP actions (programmes/actions) coordinated and complementary with multilateral actions/actors	Percentage of actions (programmes/actions) that support/complement multilateral actions/actors	50% by end-2020	76%

⁶ This indicator is also in the NDICI Programme Statement.

⁷ Please refer to the FPI Strategic Plan 2020-2024

⁸ Please refer to the FPI Strategic Plan 2020-2024

Output description	Indicator 1.a.5	Target	Latest known results (situation on 31/12/2020)
Timely adoption of the Decision amending the IcSP art. 4 Multi-Annual Action Programme (MAAP) 2019-2020 (the 2020 budget)	Adoption of the amendment to the MAAP 2019-2020	September 2020	Adopted in July 2020
Output description	Indicator 1.a.6	Target	Latest known results (situation on 31/12/2020)
Effective implementation of the Multi Annual Action Programme (MAAP) 2019-2020	Action documents under MAAP 2019-2020 (Component 2019) contracted	95% by 31 December 2020	100%
Output description	Indicator 1.a.7	Target	Latest known results (situation on 31/12/2020)
Action documents under AAPs from 2014 to 2018 implemented as planned	Action documents under AAPs 2014-2018 for which implementation is on track	100%	100%
Output description	Indicator 1.a.8	Target	Latest known results (situation on 31/12/2020)
Swift IcSP contribution to the Team Europe response to the COVID-19 pandemic	Percentage of reoriented IcSP interventions to support the response to the COVID-19 pandemic	20%	29% ⁹

⁹ 74 reoriented interventions out of 250.

Output description	Indicator 1.a.9	Target	Latest known results (situation on 31/12/2020)
Completion of evaluation on CBSD	Reception of the final external study, meeting the contractual requirements on CBSD from contractor and finalisation of staff working document	External study to be received by end-June Staff Working Document to be finalised by end-September	External study received in June 2020 Staff Working Document finalised 16 September 2020

Specific objective 1b: A Further reinforced consistency and complementarity between actions under IcSP/NDICI Crisis Response and Stability and Peace and CFSP actions			Related to spending programme: . IcSP
Result indicator 1.b.1: Percentage of actions (programmes/projects) complementary and consistent with measures adopted under Title V TEU Source of data: FPI.2/FPI.3			
Baseline (2020)	Interim Milestone (2022)	Target¹⁰ (2024)	Latest known results (2020)
100%	100%	100%	100%

Under Specific objective 1c *Enhanced conflict-sensitivity in EU action supporting conflict prevention, stabilisation and peace and addressing global and trans-regional threats to peace, international security and stability through holistic and inclusive approaches*, the results for the indicator *Percentage of actions (programmes/projects) that score 'High' or 'Medium' on the conflict-sensitivity index* will be available as of 2021.

¹⁰ Please refer to the FPI Strategic Plan 2020-2024

Common Foreign and Security Policy (CFSP)

Specific objective 2: Fast action to enable resource-effective CFSP intervention as part of integrated approach			Related to spending programme: . CFSP
Result indicator 2.1: Percentage of Contribution Agreements with EUSRs and civilian CSDP Missions signed within 4 weeks of the adoption of the Council Decision. Source of data: FPI.3			
Baseline (2018-2019)	Interim Milestone ¹¹ (2022)	Target ¹² (2024)	Latest known results (2020)
86%	90%	95%	73% ¹³

¹¹ The column should be deleted if only short-and medium term (less than 3 years) targets are set.

¹² Please refer to the FPI Strategic Plan 2020-2024

¹³ Out of a total of 15 contribution agreements, 11 were signed within the target deadline. The overall target for 2020 was not reached due to delays encountered in the Commission's consultation and contractualisation process.

Result indicator 2.2: Percentage of civilian CSDP Missions coordinating with interventions financed under other EU instruments ¹⁴ .			
Source of data: FPI.3, FPI.5 ¹⁵			
Baseline (2019)	Interim milestone	Target ¹⁶ (2024)	Latest known results (2020)
	(2022)		
N/A	100%	100%	100%
Result indicator 2.3: Percentage of positively pillar assessed civilian CSDP Missions not requiring supervisory measures as for article 154.5 FR.			
Source of data: FPI.3, FPI.5 ¹⁷			
Baseline (2019)	Interim milestone	Target ¹⁸ (2024)	Latest known results (2020)
	(2022)		
82%	90%	100%	82%

¹⁴ Including NDICI and as per CFSP Mission mandate

¹⁵ Results of the audits performed by professional external auditors (Final Audit Reports). After a thorough assessment of the measures put in place by the CSDP Mission, FPI launches an external audit to receive the opinion of an independent external auditor on the compliance of the CSDP Mission with the requirements of article 154.4 of the Financial Regulations (pillar assessment). Following the auditors' positive opinion and an integral assessment, FPI allows CSDP Missions to manage their budget in ex-post mode, and discontinues the mitigating measures required by the Financial Regulation.

¹⁶ Please refer to the FPI Strategic Plan 2020-2024

¹⁷ Results of the audits performed by professional external auditors (Final Audit Reports). After a thorough assessment of the measures put in place by the CSDP Mission, FPI launches an external audit to receive the opinion of an independent external auditor on the compliance of the CSDP Mission with the requirements of article 154.4 of the Financial Regulations (pillar assessment). Following the auditors' positive opinion and an integral assessment, FPI allows CSDP Missions to manage their budget in ex-post mode, and discontinues the mitigating measures required by the Financial Regulation.

¹⁸ Please refer to the FPI Strategic Plan 2020-2024

Result indicator 2.4: Percentage of relevant non-proliferation and disarmament actions that are complementary with actions funded under the Instrument contributing to Stability and Peace / Stability and Peace under NDICI			
Source of data: FPI.3			
Baseline (2019)	Interim milestone	Target (2024)	Latest known results (2020)
	(2022)		
N/A	100%	100%	100%

Main outputs in 2020:			
Other important output			
Output description	Indicator 2.5	Target	Latest known results (situation on 31/12/2020)
Swift contracting by FPI after the adoption of Commission Financing Decision relating to CSDP Missions and EUSR mandates	Percentage of Delegation Agreements with EUSR & civilian CSDP Missions signed within 1 month after Commission Financing Decision adoption	90% by December 2020	100%
Output description	Indicator 2.6	Target	Latest known results (situation on 31/12/2020)
Fully equipped largescale logistical platform / warehouse	Logistical platform / warehouse fully stocked with strategic equipment and logistical services to provide all civilian CSDP Missions with rapid access to strategic items	End of June 2020	The Warehouse is fully operationally in its third year of implementation. Discussions have started end of 2020 as regards an extension for another, final year and the new follow-up Warehouse III

			project to be launched in 2022.
Output description	Indicator 2.7	Target	Latest known results (situation on 31/12/2020)
Swift preparation by FPI of the Commission Financing Decisions in support of Non-proliferation of weapons and disarmament ¹⁹	Percentage of Commission Financing Decisions adopted within 1 month after Council Decision adoption	90% by December 2020	57% ²⁰
Output description	Indicator 2.8	Target	Latest known results (situation on 31/12/2020)
Swift contracting by FPI after the adoption of Commission Financing Decisions in support of Non-proliferation of weapons and disarmament	Percentage of Grants or Delegation Agreements with partner organisations signed within 1 month after adoption Commission Financing Decision	90% by December 2020	43% ²¹

¹⁹ Non-proliferation of weapons and disarmament include:

- The strategy on non-proliferation of weapons of mass destruction (WMDs) in order to increase security in this area;
- The strategy combatting illicit accumulations and trafficking of small arms and light weapons (SALW) as well as of other measures against the illicit spread and trafficking of other conventional weapons;
- The EU policies in the field of conventional arms exports, in particular on the basis of Common Position CFSP/944/2008.

²⁰ Out of a total of seven Commission financing Decisions for the 2020 non-proliferation and disarmament actions, four were adopted within one month of the adoption of the corresponding Council Decisions. The overall target for 2020 was not reached, due to delays encountered in the consultation and contractualisation process.

²¹ Out of a total of seven contribution or grant agreements for the 2020 non-proliferation and disarmament actions, three were concluded within one month of the adoption of the corresponding Commission financing Decisions. The overall target for 2020 was not reached, because the drafting and exchanges with the partner organisations required more time than initially foreseen.

Partnership Instrument

Specific objective 4.a: EU interests, values and standards positively impact decision making processes in third countries			Related to spending programme: Partnership instrument
Result indicator 4.a.1: Number of processes related to partner country practices on trade, investment and business, or promoting the external dimension of EU internal policies, which have been influenced Source of data: FPI.4			
Baseline (2019)	Interim Milestone	Target ²² (2024)	Latest known results (2020)
	(2022)		
N/A	5	15 ²³	37 ²⁴
Result indicator 4.a.2: Percentage of actions (programmes/projects) that score 'High' or 'Medium' on the gender sensitivity index ²⁵ Source of data: FPI.4			
Baseline (2019)	Interim Milestone	Target ²⁶ (2024)	Latest known results (2020)
	(2022)		
N/A	70%	90%	49%

²² Please refer to the FPI Strategic Plan 2020-2024

²³ Figures to be reviewed or confirmed after the confirmation of the level of financial means that will be available under NDICI.

²⁴ The discrepancy between results and targets is due to a change in the methodology for the use of indicators. Instead of 'customised' project-level indicators, which cannot easily be aggregated at programme/instrument level, there was a move to core indicators which are identical for the entire PI and can hence be easily aggregated.

²⁵ Percentage of actions scoring a G-marker 1 or 2 signed in the reporting year.

²⁶ Please refer to the FPI Strategic Plan 2020-2024

Main outputs in 2020:			
Other important output			
Output description	Indicator 4.a.3	Target	Latest known results (situation on 31/12/2020)
Timely adoption of the Annual Action Programme (AAP) 2020	Adoption of AAP 2020 (phase 1)	April 2020	Adopted in May 2020
	Adoption of AAP 2020 (phase 2)	November 2020	Adopted in December 2020
Output description	Indicator 4.a.4	Target	Latest known results (situation on 31/12/2020)
Timely contractualisation of AAP 2019 actions	Actions under AAP 2019 contracted	100% by end-2020	100%
Output description	Indicator 4.a.5	Target	Latest known results (situation on 31/12/2020)
Actions under AAPs 2014 to 2018 implemented as planned	Actions under AAPs 2014 to 2018 have achieved first results	90%	100%
Output description	Indicator 4.a.6	Target	Latest known results (situation on 31/12/2020)
Swift PI contribution to the Team Europe response to the COVID-19 pandemic	Percentage of reoriented PI interventions to support the response to the COVID-19 pandemic	29% of all ongoing contracts	26% ²⁷

²⁷ 57 reoriented contracts out of 221

Specific objective 4.b:		Related to spending programme: Partnership instrument		
Reinforced political partnerships and new alliances contributing to strengthening the rules-based multilateral global order				
Result indicator 4.b.1: Number of processes related to state-level and sub-state level (bilateral, regional, multi-lateral) partnership strategies and policy dialogues which have been influenced				
Source of data: FPI.4				
Baseline (2019)	Interim (2022)	Milestone	Target²⁸ (2024)	Latest known results (2020)
N/A	5		15 ²⁹	119 ³⁰

²⁸ Please refer to the FPI Strategic Plan 2020-2024

²⁹ Targets will have to be reviewed once final allocations managed by FPI.4 , in particular under the NDICI geographic pillar, will be clarified.

³⁰ The discrepancy between results and targets is due to a change in the methodology for the use of indicators. Instead of 'customised' project-level indicators, which cannot easily be aggregated at programme/instrument level, there was a move to core indicators which are identical for the entire PI and can hence be easily aggregated.

Specific objective 4.c:			Related to spending programme: Partnership instrument	
Strengthened knowledge and image of the EU abroad as an influential global player and reliable partner				
Result indicator 4.c.1: Percentage of participants targeted by outreach and advocacy events who acknowledge a positive change in their perception of the EU and/or international policies and standards / having engaged further on the topic				
Source of data: FPI.4				
Baseline (2019)	Interim (2022)	Milestone	Target ³¹ (2024)	Latest known results (2020)
73,91%	77%		80%	86% ³²

Election Observation Missions (EOMs)

Specific objective 5:			Related to spending programme: Election Observation Missions	
Strengthened EU contribution to democratic electoral cycles and reliability of electoral processes in third countries				
Result indicator 5.1: Number of electoral processes and democratic cycles supported, observed, and followed by means of Election Observation Missions (fully-fledged Electoral Observation Missions, Election Experts Missions, Election Follow-up Missions, complementary activities)				
Source of data: FPI.3				
Baseline (2019)	Interim (2022)	Milestone	Target ³³ (2024)	Latest known results (2020)
23	23		23	14 ³⁴

³¹ Please refer to the FPI Strategic Plan 2020-2024

³² This higher than expected result follows methodological choice of increasing the use of PI core indicators instead of customized indicators, as results on the latter cannot be aggregated.

³³ Please refer to the FPI Strategic Plan 2020-2024

Result indicator 5.2: Percentage of specific contracts signed within 5 weeks after the HR/VP decision to deploy an EU Election Observation Mission

Source of data: FPI.3

Baseline (2019)	Interim (2022)	Milestone	Target ³⁵ (2024)	Latest known results (2020)
	87,5%	90%		95%


Main outputs in 2020:			
Other important output			
Output description	Indicator 5.3	Target	Latest known results (situation on 31/12/2020)
Timely adoption of the 2021 Election Observation programme in cooperation with EEAS	Adoption of the Annual Action Programme (AAP).	Adoption by mid-December 2020	Due to the delayed approval of new MFF and new NDICI instrument, the AWP for 2021 could not be adopted in 2020.

³⁴ In the conditions of the global COVID-19 pandemic, travel restrictions, security and sanitary situation in the countries, many of the missions could not be deployed.

³⁵ Please refer to the FPI Strategic Plan 2020-2024

Regulatory Instruments

Specific objective 6:			Related to regulatory instruments – ‘Anti-Torture’ Regulation	
Increased global action through trade restrictions contributing to the prevention and eradication of torture and the abolition of the death penalty				
Result indicator 6.1: Number of countries having committed to taking effective measures, inter alia through legislation and effective enforcement, for the restriction of the trade in goods used for capital punishment or torture.				
Source of data: EU EEAS Reports, OHCHR, Council of Europe				
Baseline (2019)	Interim (2022)	Milestone	Target ³⁶ (2024)	Latest known results (2020)
60	65		70	60

Main outputs in 2020:			
Other important output			
Output description	Indicator 6.2	Target	Latest known results (situation on 31/12/2020)
 Review of the 'Anti-Torture' Regulation	Adoption of the review report by the Commission on the implementation of the 'Anti-Torture' Regulation	July 2020	Report adopted on 30 July 2020
Output description	Indicator 6.3	Target	Latest known results (situation on 31/12/2020)
Public consultation to seek the views of target audience on the scope of prohibited	Assessment of feedback / responses to the public consultation	2nd quarter 2020	Conducted 19 February 2020 - 22 May 2020

³⁶ Please refer to the FPI Strategic Plan 2020-2024

and/or regulated goods and/or its procedural provisions.			
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Information outreach

Main outputs in 2020:			
Other important output			
Output description	Indicator C.1	Target	Latest known results (situation on 31/12/2020)
Timely adoption of the Press & Information Annual Work Programme for external outreach implemented by EU Delegations (AWP) 2021 in consultation with the EEAS	Adoption of AWP 2021	December 2020	Adopted in December 2020
Output description	Indicator C.2	Target	Latest known results (situation on 31/12/2020)
Promote the EU's interests and values through improved access to and information about EU to 3rd country visitors under EUVP	Number of visits organised, the number of followers on social media, the number of post-visit interactions by Alumni via a range of engagement channels and the degree by which the visitors' knowledge and perception of the EU has improved as per their own assessment	200 visits (some virtual due to Covid-19), 100 alumni-related posts on social media and 1600 followers on social media (Facebook) as well as post-visit interactions with alumni to gauge the increase of information about the EU	115 visitors (including 39 face-to-face visitors and 76 virtual visitors) from 60 countries 87 alumni-related posts on social media 3310 followers on social media (Facebook) and outreach campaigns conducted on monthly basis

			targeting its Alumni: notably invitations to participate in the activities of the Facebook page, announcements of the revamped website and EUVP visit anniversary e- mails
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ANNEX 3: Draft annual accounts and financial reports

AAR 2020 Version 21.2

Annex 3 Financial Reports - DG FPI - Financial Year 2020

Table 1 : Commitments

Table 2 : Payments

Table 3 : Commitments to be settled

Table 4 : Balance Sheet

Table 5 : Statement of Financial Performance

Table 5 Bis: Off Balance Sheet

Table 6 : Average Payment Times

Table 7 : Income

Table 8 : Recovery of undue Payments

Table 9 : Ageing Balance of Recovery Orders

Table 10 : Waivers of Recovery Orders

Table 11 : Negotiated Procedures

Table 12 : Summary of Procedures

Table 13 : Building Contracts

Table 14 : Contracts declared Secret

Table 15 : FPA duration exceeds 4 years

Refresh date : 28/01/2021

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

Additional comments

TABLE 1: OUTTURN ON COMMITMENT APPROPRIATIONS IN 2020 (in Mio €) for DG FPI					
			Commitment appropriations	Commitments made	%
			1	2	3=2/1
Title 19 Foreign policy instruments					
19	19 01	Administrative expenditure of the 'Foreign policy instruments' policy area	3.50	2.04	58.3 %
	19 02	Instrument contributing to Stability and Peace (IcSP) - Crisis response, conflict prevention, peace-building and crisis preparedness	324.97	324.50	99.86 %
	19 03	Common foreign and security policy (CFSP)	401.51	378.46	94.26 %
	19 04	Election observation missions (EU EOMs)	22.80	22.71	99.61 %
	19 05	Cooperation with third countries under the Partnership Instrument (PI)	138.16	137.25	99.34 %
	19 06	Information outreach on the Union's external relations	16.84	16.73	99.30 %
Total Title 19			907.78	881.69	97.13 %

Title 21 International cooperation and development					
21	21 02	Development Cooperation Instrument (DCI)	0.00	0.00	0.00 %
Total Title 21			0.00	0.00	0.00 %

Title 34 Climate action					
34	34 02	Climate action at Union and international level	0.00	0.00	0.00 %
Total Title 34			0.00	0.00	0.00 %

Total DG FPI			907.78	881.69	97.13 %
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* Commitment appropriations authorised include, in addition to the budget voted by the legislative authority, appropriations carried over from the previous exercise, budget amendments as well as miscellaneous commitment appropriations for the period (e.g. internal and external assigned revenue).

Refresh date : 28/01/2021

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

% Outturn on Commitment Appropriations in 2020 for DG FPI



Refresh date : 28/01/2021

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

TABLE 2: OUTTURN ON PAYMENT APPROPRIATIONS in 2020 (in Mio €) for DG FPI					
			Payment appropriations authorised *	Payments made	%
			1	2	3=2/1
Title 19 Foreign policy instruments					
19	19 01	Administrative expenditure of the 'Foreign policy instruments' policy area	5.21	1.68	32.18 %
	19 02	Instrument contributing to Stability and Peace (IcSP) - Crisis response, conflict prevention, peace-building and crisis preparedness	307.46	306.59	99.72 %
	19 03	Common foreign and security policy (CFSP)	397.31	389.35	98.00 %
	19 04	Election observation missions (EU EOMs)	11.15	9.55	85.60 %
	19 05	Cooperation with third countries under the Partnership	106.70	106.35	99.67 %
	19 06	Information outreach on the Union's external relations	16.82	16.70	99.30 %
Total Title 19			844.65	830.22	98.29%
Title 21 International cooperation and development ³⁷					
21	21 02	Development Cooperation Instrument (DCI)	0.00		
Total Title 21			0.00		
Title 34 Climate action ³⁸					
34	34 02	Climate action at Union and international level	0.00		
Total Title 34			0.00		
Total DG FPI			846.31	830.22	98.29 %

* Payment appropriations authorised include, in addition to the budget voted by the legislative authority, appropriations carried over from the previous exercise, budget amendments as well as miscellaneous payment appropriations for the period (e.g. internal and external assigned revenue).

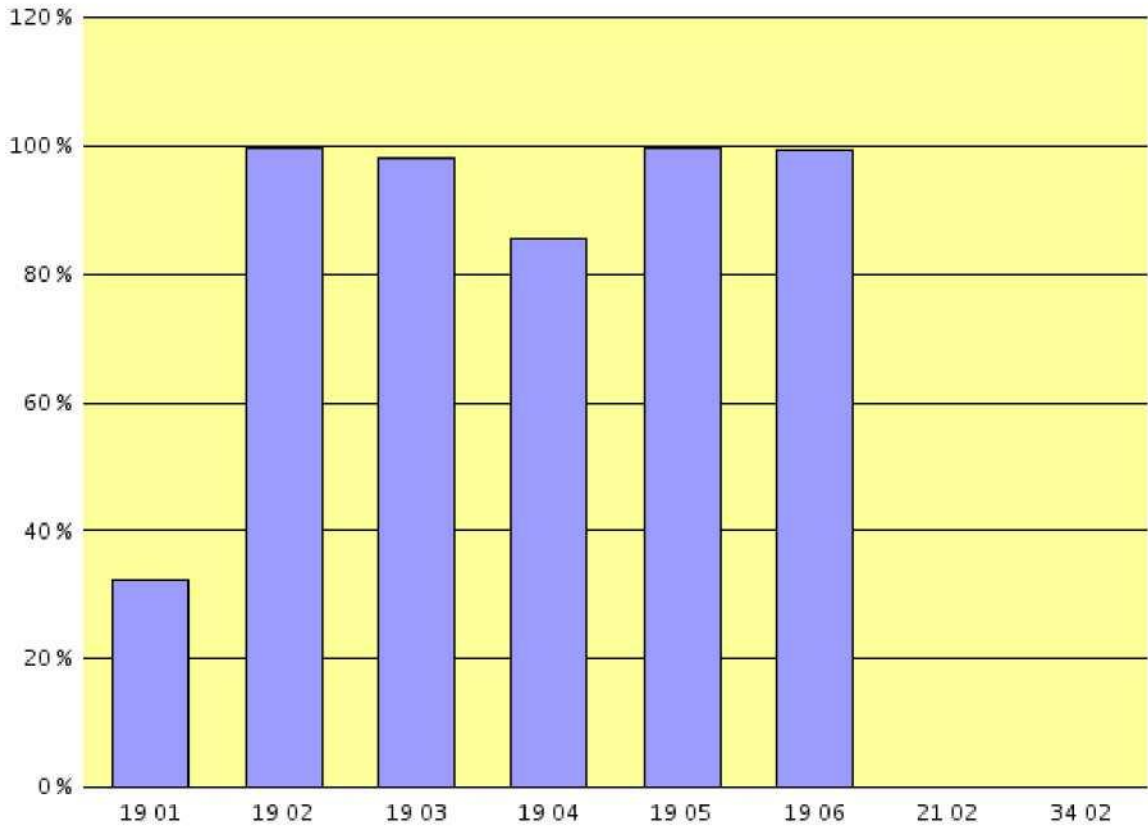
Refresh date : 28/01/2021

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

³⁷ FPI has a co-delegation under this chapter did not make commitments or payments in 2020

³⁸ FPI has a co-delegation under this chapter did not make commitments or payments in 2020

% Outturn on Payment Appropriations in 2020 for DG FPI



Refresh date : 28/01/2021

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2020 (in Mio €) for DG FPI									
Chapter			Commitments to be settled				Commitments to be settled from financial years previous to 2019	Total of commitments to be settled at end of financial year 2020	Total of commitments to be settled at end of financial year 2019
			Commitments	Payments	RAL	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
19	19 01	Administrative expenditure of the 'Foreign policy instruments' policy area	2.04	0.31	1.73	84.77%	0.00	1.73	1.71
	19 02	Instrument contributing to Stability and Peace (IcSP) - Crisis response, conflict prevention, peace-building and crisis preparedness	324.50	128.22	196.28	60.49%	214.60	410.88	426.75
	19 03	Common foreign and security policy (CFSP)	378.46	282.79	95.67	25.28%	63.58	159.24	237.64
	19 04	Election observation missions (EU EOMs)	22.71	1.18	21.53	94.81%	15.94	37.47	39.63
	19 05	Cooperation with third countries under the Partnership Instrument (PI)	137.25	3.52	133.73	97.44%	263.88	397.61	366.71
	19 06	Information outreach on the Union's external relations	16.73	16.60	0.12	0.73%	0.00	0.12	0.21
Total Title 19			881.69	432.62	449.07	50.93%	557.99	1,007.06	1,072.64

TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2020 (in Mio €) for DG FPI									
Chapter			Commitments to be settled				Commitments to be settled from financial years previous to 2019	Total of commitments to be settled at end of financial year 2020	Total of commitments to be settled at end of financial year 2019
			Commitments	Payments	RAL	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
21	21 02	Development Cooperation Instrument (DCI) ³⁹	0.00		0.00	0.00%	4.00	4.00	4.00
Total Title 21			0.00		0.00	0.00%	4.00	4.00	4.00

³⁹ FPI is co-delegated under this chapter and no commitments or payments were made in 2020

TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2020 (in Mio €) for DG FPI									
Chapter			Commitments to be settled				Commitments to be settled from financial years previous to 2019	Total of commitments to be settled at end of financial year 2020	Total of commitments to be settled at end of financial year 2019
			Commitments	Payments	RAL	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
34	34 02	Climate action at Union and international level ⁴⁰	0.00		0.00	0.00%	3.50	3.50	3.50
Total Title 34			0.00		0.00	0.00%	3.50	3.50	3.50
Total for DG FPI			881.69	432.62	449.07	50.93 %	565.49	1,014.56	1,080.14

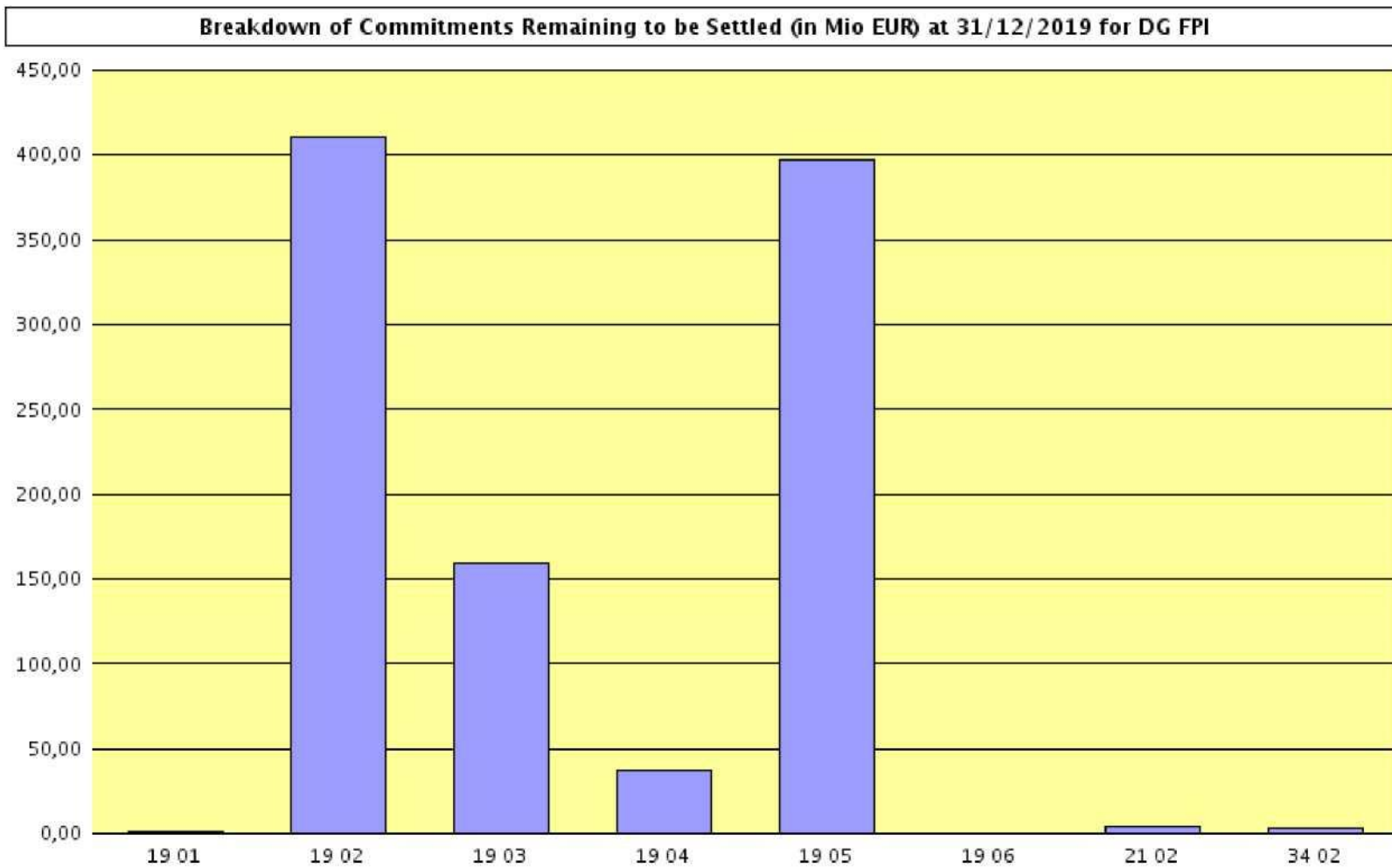
Refresh date : 28/01/2021

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

⁴⁰ FPI has a co-delegation under this chapter did not make commitments or payments in 2020

Refresh date : 28/01/2021

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors



Refresh date : 28/01/2021

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

TABLE 4 : BALANCE SHEET for DG FPI

BALANCE SHEET	2020	2019
A.I. NON CURRENT ASSETS	0	19,059,192.7
A.I.5. Non-Current Pre-Financing	0.00	19,059,192.70
A.II. CURRENT ASSETS	1,432,121,639.31	365,517,958.85
A.II.2. Current Pre-Financing	1,443,791,011.97	380,521,516.79
A.II.3. Curr Exch Receiv & Non-Ex Recoverables	-11,669,372.66	-15,003,557.94
A.II.4. Inventories	0.00	0.00
ASSETS	1,432,121,639.31	384,577,151.55
P.I. NON CURRENT LIABILITIES	44,889	44,889
P.I.3. Non-Current Financial Liabilities	44,889.00	44,889.00
P.II. CURRENT LIABILITIES	-752,139.88	-83,837,923.91
P.II.4. Current Payables	-752,139.88	-13,001,709.56
P.II.5. Current Accrued Charges & Defrd Income	0.00	-70,836,214.35
LIABILITIES	-707,250.88	-83,793,034.91
NET ASSETS (ASSETS less LIABILITIES)	1,431,414,388.43	300,784,116.64
P.III.2. Accumulated Surplus/Deficit	3,781,419,536.54	3,065,288,498.43
Non-allocated central (surplus)/deficit*	-5,212,833,924.97	-3,366,072,615.07
TOTAL DG FPI	0.00	0.00

It should be noted that the balance sheet and statement of financial performance presented in Annex 3 to this Annual Activity Report, represent only the assets, liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and statement of financial performance they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium.

Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still subject to audit by the Court of Auditors. It is thus possible that amounts included in these tables may have to be adjusted following this audit

Refresh date : 28/01/2021

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

TABLE 5 : STATEMENT OF FINANCIAL PERFORMANCE for DG FPI

STATEMENT OF FINANCIAL PERFORMANCE	2020	2019
II.1 REVENUES	-5,352,453.08	-1,037,574.08
II.1.1. NON-EXCHANGE REVENUES	-5,485,117.57	-1,313,853.04
II.1.1.5. RECOVERY OF EXPENSES	-4,730,112.65	-812,928.08
II.1.1.6. OTHER NON-EXCHANGE REVENUES	-755,004.92	-500,924.96
II.1.2. EXCHANGE REVENUES	132,664.49	276,278.96
II.1.2.1. FINANCIAL INCOME	-60,245.26	-46,857.52
II.1.2.2. OTHER EXCHANGE REVENUE	192,909.75	323,136.48
II.2. EXPENSES	-346,739,978.82	717,168,612.19
II.2. EXPENSES	-346,739,978.82	717,168,612.19
II.2.10. OTHER EXPENSES	18,367,699.54	18,106,389.85
II.2.2. EXP IMPL BY COMMISS&EX.AGENC	-137,210,561.41	268,258,816.36
II.2.3. EXP IMPL BY OTH EU AGENC&BODIES	-13,179,065.12	9,708,953.18
II.2.4. EXP IMPL BY 3RD CNTR & INT ORG (IM	-116,089,265.40	119,323,849.24
II.2.5. EXP IMPL BY OTHER ENTITIES (IM)	-98,897,588.35	301,662,911.19
II.2.8. FINANCE COSTS	268,801.92	107,692.37
STATEMENT OF FINANCIAL PERFORMANCE	-352,092,431.90	716,131,038.11

Explanatory Notes (facultative): Please enter the text directly (no copy/paste of formatted text which would then disappear when saving the document in pdf), use `Ctrl+enter` to go to the next line and `Wenter` to validate your typing.

It should be noted that the balance sheet and statement of financial performance presented in Annex 3 to this Annual Activity Report, represent only the assets, liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and statement of financial performance they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium.

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Refresh date : 28/01/2021

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

TABLE 5bis : OFF BALANCE SHEET for DG FPI		
OFF BALANCE	2020	2019
OB.1. Contingent Assets	52,711,010	65,476,238.85
GR for pre-financing	52,711,010.00	65,476,238.85
OB.2. Contingent Liabilities	-800,000	-800,000
OB.2.7. CL Legal cases OTHER	-800,000.00	-800,000.00
OB.3. Other Significant Disclosures	-992,460,930.99	-992,460,930.99
OB.3.2. Comm against app. not yet	-992,460,930.99	-992,460,930.99
OB.4. Balancing Accounts	940,549,920.99	927,784,692.14
OB.4. Balancing Accounts	940,549,920.99	927,784,692.14
OFF BALANCE	0.00	0.00

Explanatory Notes (facultative):Please enter the text directly (no copy/paste of formatted text which would then disappear when saving the document in pdf), use `\\\"ctrl+enter\\\"` to go to the next line and `\\\"enter\\\"` to validate your typing.

It should be noted that the balance sheet and statement of financial performance presented in Annex 3 to this Annual Activity Report, represent only the assets, liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and statement of financial performance they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium.

Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still subject to audit by the Court of Auditors. It is thus possible that amounts included in these tables may have to be adjusted following this audit.

Refresh date : 28/01/2021

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

TABLE 6: AVERAGE PAYMENT TIMES in 2020 for FPI

Legal Times							
Maximum Payment Time (Days)	Total Number of Payments	Nbr of Payments within Time Limit	Percentage	Average Payment Times (Days)	Nbr of Late Payments	Percentage	Average Payment Times (Days)
30	261	243	93.10 %	12.18	18	6.90 %	60.61
45	1	1	100.00 %	35			
60	260	232	89.23 %	42.1	28	10.77 %	69.58
90	155	150	96.77 %	52.53	5	3.23 %	133

Total Number of Payments	677	626	92.47 %		51	7.53 %	
Average Net Payment Time	35.96			32.97			72.63
Average Gross Payment Time	53.01			50.82			79.85

Suspensions							
Average Report Approval Suspension Days	Average Payment	Number of Suspende d	% of Total Number	Total Number of	Amount of Suspende d	% of Total Amount	Total Paid Amount
0	45	242	35.75 %	677	168,461,102.7	20.73	812,526,613.22

Late Interest paid in 2020			
DG	GL Account	Description	Amount (Eur)
FPI	65010100	Interest on late payment of charges New FR	29 078.52
			29 078.52

Refresh date : 28/01/2021

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

TABLE 7 : SITUATION ON REVENUE AND INCOME in 2020 for DG FPI

Chapter		Revenue and income recognized			Revenue and income cashed from			Outstanding balance
		Current year RO	Carried over RO	Total	Current Year RO	Carried over RO	Total	
		1	2	3=1+2	4	5	6=4+5	
40	MISCELLANEOUS TAXES AND DEDUCTIONS	755,004.92	56,450.68	811,455.60	755,004.92	56,450.68	811,455.60	0.00
52	REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST	59,996.56	0.00	59,996.56	59,996.56	0.00	59,996.56	0.00
55	REVENUE FROM THE PROCEEDS OF SERVICES SUPPLIED AND WORK CARRIED OUT	349,941.99	0.00	349,941.99	349,941.99	0.00	349,941.99	0.00
57	OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION	3,559,141.48	291,514.21	3,850,655.69	159,483.54	279,558.65	439,042.19	3,411,613.50
66	OTHER CONTRIBUTIONS AND REFUNDS	50,525,082.20	1,010,554.20	51,535,636.40	49,787,781.41	505,224.59	50,293,006.00	1,242,630.40
Total DG FPI		55,249,167.15	1,358,519.09	56,607,686.24	51,112,208.42	841,233.92	51,953,442.34	4,654,243.9

Refresh date : 28/01/2021

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

**TABLE 8 : RECOVERY OF PAYMENTS in 2020 for DG FPI
(Number of Recovery Contexts and corresponding Transaction Amount)**

INCOME BUDGET RECOVERY ORDERS ISSUED IN 2020	Irregularity		OLAF notified		Total undue payments recovered		Total transactions in recovery context (incl. non-qualified)		% Qualified/Total RC	
	Year of Origin (commitment)	Nbr	RO Amount	Nbr	RO Amount	Nbr	RO Amount	Nbr	RO Amount	Nbr
2009	1	74,537.31			1	74,537.31	1	74,537.31	100.00%	100.00%
2012	6	60,464.09			6	60,464.09	6	60,464.09	100.00%	100.00%
2013	4	38,326.4	3	3,204,732.78	7	3,243,059.18	7	3,243,059.18	100.00%	100.00%
2014	1	42,726.24			1	42,726.24	4	116,006.21	25.00%	36.83%
2015	2	182,713.37			2	182,713.37	10	2,959,186.03	20.00%	6.17%
2016	1	1,037,083.81			1	1,037,083.81	5	2,506,130.42	20.00%	41.38%
2017	1	9,834.94			1	9,834.94	25	9,890,387.02	4.00%	0.10%
2018							24	25,397,426.51		
2019							17	10,508,669.53		
2020							2	197,674.27		
No Link	3	145,817.6			3	145,817.6	14	512,165.92	21.43%	28.47%
Sub-Total	19	1,591,503.76	3	3,204,732.78	22	4,796,236.54	115	55,465,706.49	19.13%	8.65%

EXPENSES BUDGET	Irregularity		OLAF Notified		Total undue payments recovered		Total transactions in recovery context (incl. non-qualified)		% Qualified/Total RC	
	Nbr	Amount	Nbr	Amount	Nbr	Amount	Nbr	Amount	Nbr	Amount
INCOME LINES IN INVOICES										
NON ELIGIBLE IN COST CLAIMS	14	864,549.06			14	864,549.06	19	936,854.55	73.68%	92.28%
CREDIT NOTES	14	312,837.86			14	312,837.86	30	1,572,413.38	46.67%	19.90%
Sub-Total	28	1,177,386.92			28	1,177,386.92	49	2,509,267.93	57.14%	46.92%
GRAND TOTAL	47	2,768,890.68	3	3,204,732.78	50	5,973,623.46	164	57,974,974.42	30.49%	10.30%

Refresh date : 28/01/2021

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

TABLE 9: AGEING BALANCE OF RECOVERY ORDERS AT 31/12/2020 for DG FPI

	Number at 1/01/2020	Number at 31/12/2020	Evolution	Open Amount (Eur) at 1/01/2020	Open Amount (Eur) at 31/12/2020	Evolution
2008	1		-100.00 %	272,745.94		-100.00 %
2015	3	2	-33.33 %	473,772.09	439,280.50	-7.28 %
2016	1	1	0.00 %	112,706.26	112,706.26	0.00 %
2019	5	1	-80.00 %	545,951.95	11,955.56	-97.81 %
2020		14			4,136,958.73	
	10	18	80.00 %	1,405,176.24	4,700,901.05	234.54 %

Refresh date : 28/01/2021

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

TABLE 10 :Recovery Order Waivers >= 60 000 € in 2020 for DG FPI

	Waiver Central Key	Linked RO Central Key	RO Accepted	LE Account Group	Commission Decision
0	3233200130	3230813056	-272,745.94	Private Companies	C(2020)5088

Total DG FPI	-272,745.94
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Number of RO waivers	1
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There are 4 waivers below EUR 60 000 for a total amount of EUR -49,426.70

Article 101(2)(b) FR - Age

Refresh date : 28/01/2021

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

TABLE 11 : Negotiated Procedures in 2020 for DG FPI

External Procedures > € 20,000

Negotiated Procedure Legal base	Number of Procedures	Amount (€)
Annex 1 - 11.1 (c) - Extreme urgency caused by unforeseeable events not attributable to the contracting authority	4	3,046,591.50
Annex 1 - 11.1 (e) - New services/works consisting in the repetition of similar services/works	1	2,499,050.00
Annex 1 - 39.1 (a) - Services entrusted to public-sector bodies or non-profit institutions or organisations	1	275,000.00
Annex 1 - 39.1 (c) - Early termination of existing contract	3	795,701.57
Total	9	6,616,343.07

Refresh date : 28/01/2021

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

TABLE 12 : Summary of Procedures in 2020 for DG FPI

External Procedures > € 20,000

Procedure Legal base	Number of Procedures	Amount (€)
(Ext. act) Service - International Restricted Procedure with prior publication (Art. 265.1(a)(1) & 2 RAP)	6	17,680,975.00
Negotiated procedure without prior publication (Annex 1 - 11.1)	5	5,545,641.50
Negotiated procedure with single tender (Annex 1 - 39.1)	4	1,070,701.57
Restricted procedure - As provided for in FR 164(1)(b) - Services/Supplies as from EUR 300 000 - Works as from EUR 5 000 000 - publication (Annex 1 - 38.1 (a))	26	311,209,126.00
Total	41	335,506,444.07

Additional Comments:

Refresh date : 28/01/2021

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

TABLE 13 : BUILDING CONTRACTS in 2020 for DG FPI

Legal Base	Procedure subject	Contract Number	Contractor Name	Contract Subject	Amount (€)

Refresh date : 28/01/202

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

TABLE 14 : CONTRACTS DECLARED SECRET in 2020 for DG FPI

Legal Base	Procedure subject	LC Date	Contract Number	Contractor Name	Contract Subject	Amount (€)

Refresh date : 28/01/2021

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

TABLE 15 : FPA duration exceeds 4 years - DG

None of your FPA (if any) exceeds 4 years

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

Refresh date : 28/01/2021

TABLE 16 : Commitments co-delegation type 3 in 2020 for DG FPI

Refresh date : 28/01/2021

Note : The figures are those related to the provisional accounts and not yet audited by the Court of Auditors

ANNEX 4 : Financial Scorecard

Indicator	<u>CA Implementation</u>
Category	Efficiency Controls / Budget
Objective	Ensure efficient use of commitment appropriations
Result	<p>DG FPI achieved 100% compared to the EC result of 99%</p> <p>0% 20% 40% 60% 80% 100%</p> <p>EC (99%) 100%</p>

Indicator	<u>PA Implementation</u>
Category	Efficiency Controls / Budget
Objective	Ensure efficient use of payment appropriations
Result	<p>DG FPI achieved 100% compared to the EC result of 99%</p> <p>0% 20% 40% 60% 80% 100%</p> <p>EC (99%) 100%</p>

Indicator	<u>CA Forecast Implementation</u>
Category	Efficiency Controls / Budget
Objective	Ensure the cumulative alignment of the commitment implementation with the commitment forecast in a financial year
Result	<p>DG FPI achieved 99% compared to the EC result of 98%</p>

Indicator	<u>PA Forecast Implementation</u>
Category	Efficiency Controls / Budget
Objective	Ensure the cumulative alignment of the payment implementation with the commitment forecast in a financial year
Result	<p>DG FPI achieved 99% compared to the EC result of 99%</p>

Indicator	<u>Global Commitment Absorption</u>
Category	Efficiency Controls / Absorption
Objective	Ensure efficient use of already earmarked commitment appropriations (at L1 level)
Result	<p>DG FPI achieved 100% compared to the EC result of 98%</p> <p>0% 20% 40% 60% 80% 100%</p> <p>EC (98%) 100%</p>

Indicator	<u>Timely Payments</u>
Category	Efficiency Controls / Timeliness
Objective	Ensure efficient processing of payments within the legal deadlines
Result	<p>DG FPI achieved 97% compared to the EC result of 99%</p> <p>0% 20% 40% 60% 80% 100%</p> <p>EC (99%) 97%</p>
Comment	<p>The definition of this indicator is based on amount paid in time compared to the total payments made in 2020. In 2020, 97% of the amount managed by FPI was paid in time compared to 99% for all the EC. EUR 21 million were paid later due mainly to a prioritizing of payments at the end of the year where some payments were delayed awaiting the reinforcement of payment appropriations or due to a value bank execution longer than the average delay</p>

ANNEX 5: Materiality criteria

The principal criterion for defining significant weaknesses is the detection of significant deficiencies/errors during the controls, supervision and evaluation exercises. Different parameters are considered, such as the nature/typology of the deficiency and its scope, the relative importance of the system component affected by the deficiencies, their frequency and duration, their cause, the financial impact, monetary value of the identified problem/amount considered erroneous, the amount considered at risk, the possibility to undertake corrective actions and the existence of compensatory measures (mitigating controls which reduce the impact of the weakness). In addition, an examination is made as to whether the deficiencies give risk to special factors which put at risk the reputation of EU institutions (e.g. risk of widespread fraud).

From the examination carried out on the basis of the above factors, management should conclude that the deficiencies are significant and deserve to be disclosed in the declaration of assurance where:

- the problems identified concern key control elements/components linked to the underlying expenditure and, having regard to the relevant factors, it appears they are systematic and wide-ranging in their occurrence;
- the multi-annual residual error rate (RER) for one or more activities of the Service exceeds 2% of the authorised payments of the reporting year for this activity;
- the audit coverage is insufficient and information on internal control system inadequate to conclude on the robustness of internal control;
- the existence of critical issues reported by the Court of Auditors, the IAS or OLAF, including the impact on assurance of very important recommendations for which there is a significant delay in the implementation of the action plan;
- there are distinctive factors in relation to the qualitative aspects of the deficiencies, which give rise to a high reputational risk (both concerning the nature of the impact on reputation, the breadth of awareness of the event as well as the duration of impact on a reputation) for the EU institutions, which would lead to the conclusion that the deficiencies are significant notwithstanding the absence of one or both of the above elements.

Identification and correction of weaknesses/errors are based on a number of sources, comprising, inter alia:

- regular assessment of the implementation of the internal control framework;
- specific controls, audits or investigations and their results;
- management and monitoring reports;
- and recommendations of internal and external audit bodies .

Since 2019⁴¹, a 'de minimis' threshold for financial reservations has been introduced. Quantified AAR reservations related to residual error rates above the

⁴¹ Agreement of the Corporate Management Board of 30/4/2019.

2% materiality threshold, are deemed not substantial for segments representing less than 5% of a DG's total payments and with a financial impact below EUR 5 million. In such cases, quantified reservations are no longer needed.

ANNEX 6: Relevant Control System(s) for budget implementation (RCSs)

RCS 1: *Instrument Contributing to Stability and Peace* (Grants – direct management)

Partnership Instrument, Instrument for Cooperation with Industrialised Countries (Grants – direct management)

Stage 4 - Ex-Post controls

A - Reviews, audits and monitoring

Main control objectives: Measuring the effectiveness of ex-ante controls by ex-post controls; detect and correct any error or fraud remaining undetected after the implementation of ex-ante controls (legality & regularity; anti-fraud strategy); addressing systemic weaknesses in the ex-ante controls, based on the analysis of the findings (sound financial management); Ensuring appropriate accounting of the recoveries to be made (reliability of reporting, safeguarding of assets and information).

Overall control efficiency indicator: estimated cost of controls of grant operations divided by total amount of expenditure under grant operations in the year.

Main risks <i>It may happen (again) that...</i>	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
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Main risks <i>It may happen (again) that...</i>	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
The ex-ante controls (as such) fail to prevent, detect and correct erroneous payments or attempted fraud.	<p>Ex-post control strategy: Carry out audits of a representative sample of operations to determine effectiveness of ex-ante controls.</p> <p>If error rate over tolerable threshold, control a risk-based sample to lower the residual error rate below the tolerable threshold.</p> <p>Validate audit results with beneficiary.</p> <p>If needed: referring the beneficiary or grant to OLAF</p>	<p>Representative sample: annual ex-post control plan sufficiently representative to draw valid management conclusions.</p> <p>Selection based on comprehensive risk assessment.</p> <p>Risk-based sample: special purpose audits aimed at projects where problems are anticipated or have already been identified.</p>	<p>Effectiveness: detected error rate. Residual error rate.</p> <p>Number of supervisory control failures.</p> <p>Number of projects with errors; amount of the errors detected.</p> <p>Efficiency: total (average) annual cost of audits in EPC plan + special purpose audits compared with benefits (ratio).</p> <p>Economy: cost of the external audit firms for the controls of IfS/lcSP and ICI/PI beneficiaries.</p> <p>Average cost per audit/ Benefits: value of the errors detected by the auditors.</p>
	Supervision missions to Delegations by independent staff (FPI.5) not involved in the operational and financial circuits.	Size and composition of the sample are determined in accordance with the portfolios managed by the visited Delegations.	<p>Efficiency: total (average) mission cost of supervisors compared with benefits (ratio). Average mission cost per million EUR of payments managed.</p> <p>Economy: mission cost of the controls of EU Delegations conducted by FPI staff (cost of staff not included). Average cost per mission/ Benefits: non-quantifiable.</p>

Main risks <i>It may happen (again) that...</i>	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
<p>The ex-post controls focus on the detection of external errors (e.g. made by beneficiaries) and do not consider any internal errors made by staff or embedded systematically in the own organisation.</p>	<p>Establish an ex-post supervision strategy: Carry out ex-post controls of systems and transactions in EU Delegations implementing IfS/IcSP and ICI/PI projects</p> <p>These controls serve different purposes, e.g. 1) they offer the possibility to identify areas, under the IcSP/Ifs and PI /ICI procedures, where guidance and advice is needed, and 2) the results of the controls adds to the overall assurance.</p>	<p>Annual ex-post control plan of EU Delegations to visit based on comprehensive risk assessment. Desk review in case of high risk Delegations</p> <p>Depth: review of underlying checklists and documents relating to IfS commitments and payments.</p>	<p>Effectiveness:</p> <p>Number of administrative errors detected by the supervisors. Number of material findings. Value of material errors concerned. Detected error rate. Residual error rate. Average number of errors per Delegation.</p> <p>Efficiency: total (average) mission cost of supervisors compared with benefits (ratio). Average mission cost per million EUR of payments verified.</p> <p>Economy: mission cost of the controls of EU Delegations conducted by FPI staff (cost of staff not included). Average cost per mission/ Benefits: value of the errors detected by the supervisors.</p>

B - Implementing results from ex-post audits/controls

Main control objectives: Ensuring that the (audit) results from the ex-post controls lead to effective recoveries (legality & regularity; anti-fraud strategy); Ensuring appropriate accounting of the recoveries made (reliability of reporting).

Overall control efficiency indicator: percentage of cashed recoveries as of 31 March N+1.

Main risks <i>It may happen (again) that...</i>	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
The errors, irregularities and cases of fraud detected are not addressed or not addressed timely	<p>Systematic registration of audit / control results to be implemented.</p> <p>Financial operational validation of recovery in accordance with financial circuits.</p> <p>Authorisation by AO.</p>	<p>Coverage: 100% of final audit results <i>with a financial impact</i>.</p> <p>Depth: consider 'extending' the findings of systemic errors into corrections of non-audited projects by the same beneficiary</p>	<p>Effectiveness:</p> <p>Success ratio: % of value of the ROs over detected errors by the auditors after 1 year (not yet available).</p> <p>Number of suspected fraud cases transferred to OLAF.</p> <p>Analysis of financial control findings, internal control findings and other compliance findings per category of error. Number of occurrences per category of error detected.</p> <p>Efficiency:</p> <p>Time-to-recovery (not yet determined).</p> <p>Economy:</p> <p>Loss value of such ROs which are 'waived' or have to be cancelled/</p> <p>Benefits: value of the errors, detected by ex-post controls, which</p>

Main risks <i>It may happen (again) that...</i>	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
			have actually been corrected (offset or recovered).

RCS 2: Instrument contributing to Stability and Peace

Indirect management (including 'similarly' managed budget 'entrusted' to other entities)

Stage 2 – Ex-ante (re)assessment of the entrusted entity’s financial and control framework (towards “budget autonomy”; “financial rules”).

Main control objectives: Ensuring that the entrusted entity is fully prepared to start/continue implementing the delegated funds autonomously with respect of all 5 ICOs.

DEVCO is in charge of launching 4-pillar compliance assessments (International Organisations) and 6-pillar compliance assessments (indirect management).

Stage 3 – Operations: monitoring, supervision, reporting (“representation” / “control with or around the entity?”).

Main control objectives: Ensuring that the Commission is fully and timely informed of any relevant management issues encountered by the entrusted entity, in order to possibly mitigate any potential financial and/or reputational impacts (legality & regularity, sound financial management, true and fair view reporting, anti-fraud strategy)

Main risks <i>It may happen (again) that...</i>	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
Due to weak "modalities of cooperation, supervision & reporting", the Commission is not (timely) informed of relevant management issues encountered by the entrusted entity, and/or does not (timely) react upon notified issues by mitigating them or by making a reservation for them – which may reflect negatively on the	Delegation agreement/ Contribution agreement specifying the control, accounting, audit, publication, etc. related requirements. Carry out verification missions of international organisations.	Coverage: verification missions of international organisations included in annual ex-post control plan. Selection of verification missions based on comprehensive risk assessment identical to grants.	Effectiveness: Number of verification missions; number of internal control and other compliance findings; amount of the errors concerned. Efficiency Indicators: Cost/benefit ratio.

Main risks It may happen (again) that...	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
Commission's governance reputation and quality of accountability reporting.			Economy: cost of the verification missions of international organisations included in the total cost of the annual ex-post control plan/ Benefits: value of the errors detected by the verification team.

Stage 4 – Commission contribution: payment or suspension/interruption.

Main control objectives: Ensuring that the Commission fully assesses the management situation at the entrusted entity, before either paying out the (next) contribution for the operational and/or operating budget of the entity, or deciding to suspend/interrupt the (next) contribution (legality & regularity, sound financial management, anti-fraud strategy).

Main risks It may happen (again) that...	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
The Commission pays out the (next) contribution to the entrusted entity, while not being aware of the management issues that may lead to financial and/or reputational damage.	Delegation agreement/ Contribution agreement specifying the control, accounting, audit, publication, etc. related requirements. Ex-ante OV and FV. Carry out (mid-term) verification missions of international organisations under joint	Coverage: 100% of the contribution payments (for ex-ante OV and FV). Verification missions of international organisations included in annual ex-post control plan (conducted after 1 st year of operations or before signature of new contribution agreement).	Effectiveness: Number of verification missions; number of internal control and other compliance findings; amount of the errors concerned. Efficiency Indicators: Cost/benefit ratio. Economy:

Main risks It may happen (again) that...	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
	management. <u>If appropriate/needed</u> , suspension or interruption of payments		cost of the verification missions of international organisations included in the total cost of the annual ex- post control plan/ Benefits: value of the errors detected by the verification team. The total amount entrusted to the entity, possibly at 100% if significant (legal, management, accounting, fraud, reporting) errors would otherwise be detected.

Stage 5 – Audit and evaluation (indirect management only)

Main control objectives: Ensuring that assurance building information on the entrusted entity's activities is being provided through independent sources as well, which may confirm or contradict the management reporting received from the entrusted entity itself (on the 5 ICOs).

Main risks It may happen (again) that...	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
The Commission has not sufficient information from independent sources on the entrusted entity's management achievements, which prevents drawing conclusions on the assurance for the budget	Ex-post control strategy: Carry out audits of projects under indirect management. If error rate over tolerable threshold, control a risk-based sample to lower the residual error	Representative sample: annual ex-post control plan may include indirect managed projects. Selection based on comprehensive risk assessment. Risk-based sample: special purpose	Effectiveness: detected error rate. Residual error rate. Amount of budget of errors concerned. Number of projects with errors; amount of the errors detected.

Main risks <i>It may happen (again) that...</i>	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
<p>entrusted to the entity – which may reflect negatively on the Commission's governance reputation and quality of accountability reporting.</p>	<p>rate below the tolerable threshold. Validate audit results with beneficiary. If needed: referring the beneficiary or grant to OLAF</p>	<p>audits aimed at projects where problems are anticipated or have already been identified.</p>	<p>Efficiency: total (average) annual cost of audits in EPC plan + special purpose audits (if any under indirect management) compared with benefits (ratio).</p> <p>Economy: cost of the external audit firms for the controls of IcSP/IfS beneficiaries. Average cost per audit (for all management modes combined)/ Benefits: value of the errors detected by the auditors.</p>

RCS 3: Common Foreign and Security Policy

Indirect management (including 'similarly' managed budget 'entrusted' to other entities)

Stage 1 – Establishment (or prolongation) of the mandate to the entrusted entity (“delegation act”/ “contribution agreement” / etc).

Main control objectives: Ensuring that the legal framework for the management of the relevant funds is fully compliant and regular (legality & regularity), delegated to an appropriate entity (best value for public money, economy, efficiency), without any conflicts of interests (anti-fraud strategy).

Overall control efficiency indicator: estimated cost of control of CSFP operations divided by total amount of expenditure under these operations in the year.

Main risks It may happen (again) that...	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
<p>The establishment (or prolongation) of the mandate of the entrusted entity is affected by legal issues, which would undermine the legal basis for the management of the related EU funds (via that particular entity).</p> <p>The Council takes decisions on political grounds without taking into account the comments from the Commission on sound financial management.</p> <p>The Commission does not play a programming role nor does it have a final say in decisions. The</p>	<p>Ensure participation in the decision making process from an early stage, in line with the 2012 Commission services / EEAS working arrangements (SEC(2012)48, point 3.8).</p> <p>Ex-ante verification (checklist based verification) of the proposed projects, beneficiaries and budgets before adoption by the Council.</p> <p>Explicit allocation of responsibility to individual officials (reflected in task assignment or function</p>	<p>Coverage/Frequency: 100% of each proposed project.</p> <p>Depth: Checklist includes a list of the requirements of the regulatory provisions to be complied with.</p> <p><u>Factors</u> would be (i) whether it is an establishment or a prolongation, (ii) consistency with any other entities entrusted by the same DG or family.</p> <p>If risk materialises, all funds delegated during the year(s) to the entrusted entity would be irregular. Possible impact 100%</p>	<p>Effectiveness:</p> <p>Quality of the legal work – Council Decision.</p> <p>Number of initially negative ISC opinions.</p> <p>Number of contracts not signed with entities designated by the Council.</p> <p>Economy:</p> <p>estimation of cost of staff involved in the preparation, adoption and selection work/</p> <p>Benefits: non-financial qualitative benefits (clear</p>

Main risks It may happen (again) that...	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
Commission can, due to its expertise in project management and its budgetary role, try to influence the Council's decisions. Arguments on sound financial management risk being overruled and the Council often may make decisions on political grounds, with political objectives being considered to be of overriding importance.	descriptions). Hierarchical validation within the authorising department. Inter-service consultation, including all relevant DGs. Adoption by the Commission.	of budget involved and significant reputational consequences.	contracts, less disputes, time saved during the implementation phase, reputational). Financial benefits: approved budget lower than initially proposed, improved implementation of the budget.

Stage 2 – Ex-ante (re)assessment of the entrusted entity's financial and control framework (towards “budget autonomy”; “financial rules”).

Main control objectives: Ensuring that the entrusted entity is fully prepared to start/continue implementing the delegated funds autonomously with respect of all 5 ICOs.

Overall control efficiency indicator: number of entrusted entities pillar-assessed (target = 100%).

Main risks It may happen (again) that...	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
The financial and control framework deployed by the	<u>Ex-ante assessment, conditional to granting budget autonomy</u>	Coverage/frequency: 100% of entrusted entities/initial and	Effectiveness: Number of art. 60 assessments

Main risks <i>It may happen (again) that...</i>	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
<p>entrusted entity is not fully mature to guarantee achieving all 5 ICOs</p>	<p>Hierarchical validation within the authorising department</p> <p>Use of Model- or Framework-financial rules (MFR or FFR)</p> <p>Requiring justification and prior consent for any deviations from financial rules</p> <p>Requiring ex-ante control of procurement and contract award files, approval of selection and grading of international contracted staff.</p> <p>Postponing the budget autonomy.</p>	<p>follow-up assessments</p> <p>Depth may be determined after considering the <u>type or nature</u> of the entrusted entity (e.g. other international organisation with a specific EC agreement, CFSP persons, etc.) and/or the <u>value</u> of the budget, size of the entity concerned and the location (difficult environment).</p>	<p>Efficiency Indicators:</p> <p>Number of exceptions reported by the Missions/EUSRs.</p> <p>Number of mitigating actions taken by FPI.</p> <p>Economy:</p> <p>estimation of cost of staff involved in the ex-ante assessment process (which may include missions, if applicable). Cost of externalised assessments/</p> <p>Benefits: The (average annual) total amount entrusted to the entity, possibly at 100% if significant (legal) errors would otherwise be detected.</p> <p>Qualitative benefits: mission better organised, more efficient due to application of correct procedures.</p> <p>Less support to be provided by FPI.</p>

Stage 3 – Operations: monitoring, supervision, reporting (“representation” / “control with or around the entity?”).

Main control objectives: Ensuring that the Commission is fully and timely informed of any relevant management issues encountered by the entrusted entity, in order to possibly mitigate any potential financial and/or reputational impacts (legality & regularity, sound financial management, true and fair view reporting, anti-fraud strategy).

Main risks <i>It may happen (again) that...</i>	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
<p>Due to weak "modalities of cooperation, supervision & reporting", the Commission is not (timely) informed of relevant management issues encountered by the entrusted entity, and/or does not (timely) react to notified issues by mitigating them or by making a reservation for them – which may reflect negatively upon the Commission's governance reputation and quality of accountability reporting.</p>	<p>Delegation Act/ Contribution agreement/etc specifying the control, accounting, audit, publication, and other related requirements and the reporting .</p> <p>Monitoring or supervision of the entrusted entity (e.g. 'regular' monitoring meetings at operational level; <u>review of reported control results and any underlying management/audit reports</u>; scrutiny of the interim and final reports, etc).</p> <p>Reporting template provided by FPI. Obligatory use of audit framework contracts for the final financial report audit.</p> <p>Management review of the supervision results.</p> <p><u>If appropriate/needed:</u></p> <ul style="list-style-type: none"> - reinforced monitoring of operational and/or financial aspects of the entity 	<p>Coverage: 100% of the entities are monitored/supervised.</p> <p>Frequency: monthly, quarterly interim reports are immediately carefully scrutinised. At least one monitoring mission per year/entity is carried out.</p> <p><u>In case of</u> operational and/or financial issues, measures are being reinforced.</p> <p>The depth: full control of the entity's internal control and management systems and actions.</p>	<p>Effectiveness: number of reports scrutinised, problems detected, number of regular monitoring actions (missions), number of Very important and Critical IAS and ECA findings.</p> <p>Efficiency Indicators: Cost/benefit ratio. Cost of monitoring and support missions, provision of additional expert support.</p> <p>Economy: estimation of cost of staff involved in the actual (regular or reinforced) monitoring of the entrusted entities (which includes missions). The cost of specific external audits if required/</p> <p>Benefits: Avoiding the cost of significant (legal, management, accounting, fraud, reporting) errors if these controls would not be in place. Reputational benefit.</p>

Main risks <i>It may happen (again) that...</i>	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
	<ul style="list-style-type: none"> - intervention, e.g. via own on-the-spot controls, specific external audits - address any potential major governance-related issues with the entrusted entities - referral to OLAF 		

Stage 4 – Commission contribution: payment or suspension/interruption.

Main control objectives: Ensuring that the Commission fully assesses the management situation at the entrusted entity, before either paying out the (next) contribution for the operational and/or operating budget of the entity, or deciding to suspend/interrupt the (next) contribution (legality & regularity, sound financial management, anti-fraud strategy).

Stage 5 – Audit and evaluation, Discharge

Main control objectives: Ensuring that assurance building information on the entrusted entity's activities is being provided through independent sources, which may confirm or contradict the management reporting received from the entrusted entity itself.

Main risks <i>It may happen (again) that...</i>	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
The Commission does not have sufficient information from independent sources on the entrusted entity's management	Delegation Act/Contribution agreement/etc specifying the control, accounting, audit, publication, etc related	Coverage: The final report/financial statement per mandate (annual for most CSDP missions and EUSRs) and the	Effectiveness: detected error rate, residual error rate. Number of transactions with errors; amount of the errors

Main risks It may happen (again) that...	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
<p>achievements, which prevents drawing conclusions on the assurance for the budget entrusted to the entity – which may reflect negatively on the Commission's governance reputation and quality of accountability reporting.</p>	<p>requirements; reporting requirements; independent external audit of the accounts and financial statement.</p> <p>The entities are part of the population subjected to the ex-post control programme.</p> <p><u>If needed:</u></p> <ul style="list-style-type: none"> - supplementary ex-post audit(s) on-the-spot, by FPI.3 of the entity. - potential escalation of any major governance-related issues with entrusted entities - referral to OLAF 	<p>required external audit report to be in-depth scrutinised.</p> <p>Frequency: once a year (as a rule).</p> <p>Entities are selected for ex-post controls (external audits) on the basis of a risk analysis.</p> <p>The depth depends on the <u>mandate</u> of the (type of) entity, inter alia whether the Commission has full access to the entity's internal control information.</p>	<p>detected by the own supervisors.</p> <p>Efficiency: total (average) annual cost of own audits compared with benefits (ratio).</p> <p>Economy:</p> <p>estimation of cost of staff involved in the coordination and execution of the own ex-post controls and audits (which may include missions, if applicable). Cost of the appointment of audit firms for the outsourced audits.</p> <p>Benefits: The (average annual) total amount entrusted to the entity, possibly at 100% if significant (legal, management, accounting, fraud, reporting) errors would otherwise be detected.</p> <p>Benefits: value of the errors with the entity's beneficiaries detected by the own auditors, and subsequently corrected.</p>

**RCS 4: Partnership Instrument, Instrument for Cooperation with Industrialised Countries (Procurement - direct management)
Election Observation Mission (Procurement - direct management)**

Stage 2 – Financial transactions

Main control objectives: Ensuring that the implementation of the contract is in compliance with the signed contract

Overall control efficiency indicator: Estimated cost of controls of procurement operations divided by total amount of expenditure under procurement contracts in the year.

Main risks <i>It may happen (again) that...</i>	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
<p>The products/services foreseen are not, totally or partially, provided in accordance with the technical description and requirements foreseen in the contract and/or the amounts paid exceed that due in accordance with the applicable contractual and regulatory provisions.</p>	<p>Operational and financial checks in accordance with the financial circuits. Follow-up by project manager.</p>	<p>Coverage: 100% of the contracts are controlled</p>	<p>Effectiveness: Ex-ante verification results</p> <p>Efficiency: Ex-ante verification results</p> <p>Economy: Benefits: Amount of irregularities, errors and overpayments prevented by the controls</p>

Stage 3 – Monitoring implementation

Main control objectives: Ensuring that any weakness in the procedures (tender and financial transactions) is detected and corrected

Main risks <i>It may happen (again) that...</i>	Mitigating controls	Coverage, frequency and depth of controls*	Cost-Effectiveness indicators (three E's)
<p>An error or non-compliance with regulatory and contractual provisions, including technical specifications, or a fraud is not prevented, detected or corrected by ex-ante control, prior to payment</p>	<p>Supervisory desk review of procurement and financial transactions</p> <p>Ex-post publication (possible reaction from tenderer / potential tenderer such as whistle blowing)</p> <p>Ex-post control strategy: Carry out audits of procurement projects (products/services).</p>	<p>Representative sample: annual ex-post control plan may include procurement projects. Selection based on comprehensive risk assessment.</p> <p>Risk-based sample: special purpose audits aimed at projects where problems are anticipated or have already been identified.</p>	<p>Effectiveness: detected error rate. Residual error rate below tolerable threshold. Amount of budget of errors concerned. Number of projects with errors; amount of the errors detected (for all management modes combined).</p> <p>Efficiency: total (average) annual cost of audits in EPC plan + special purpose audits (if any procurement contract) compared with benefits (ratio).</p> <p>Economy: cost of the external audit firms for the controls of ICI/PI beneficiaries. Average cost per audit (for all management modes combined)/ Benefits: value of the errors detected by the auditors.</p>

Stage 4 – Ex-post controls

Main control objectives: Measuring the effectiveness of ex-ante controls by ex-post controls; detect and correct any error or fraud remaining undetected after the implementation ex-ante controls (legality & regularity; anti-fraud strategy); addressing systemic weaknesses in the ex-ante controls, based on the analysis of the findings (sound financial management); Ensuring appropriate accounting of the recoveries to be made (reliability of reporting, safeguarding of assets and information).

Overall control efficiency indicator: estimated cost of controls of procurement operations divided by total amount of expenditure under procurement operations in the year.

Main risks <i>It may happen (again) that...</i>	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
<p>The ex-ante controls (as such) fail to prevent, detect and correct erroneous payments or attempted fraud.</p>	<p>Ex-post control strategy: Carry out audits of a representative sample of operations to determine effectiveness of ex-ante controls.</p> <p>If error rate over tolerable threshold, control a risk-based sample to lower the residual error rate below the tolerable threshold.</p> <p>Validate audit results with beneficiary.</p> <p>If needed: referring the beneficiary or grant to OLAF</p>	<p>Representative sample: annual ex-post control plan sufficiently representative to draw valid management conclusions.</p> <p>Selection based on comprehensive risk assessment.</p> <p>Risk-based sample: special purpose audits aimed at projects where problems are anticipated or have already been identified.</p>	<p>Effectiveness: detected error rate. Residual error rate.</p> <p>Number of supervisory control failures.</p> <p>Number of projects with errors; amount of the errors detected.</p> <p>Efficiency: total (average) annual cost of audits in EPC plan + special purpose audits compared with benefits (ratio).</p> <p>Economy:</p> <p>cost of the external audit firms for the controls of ICI/PI beneficiaries.</p> <p>Average cost per audit/</p> <p>Benefits: value of the errors detected by the auditors.</p>

Main risks <i>It may happen (again) that...</i>	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
	<p>Verification missions to Delegations by independent staff (FPI.1) not involved in the operational and financial circuits.</p>	<p>Size and composition of the sample are determined in accordance with the portfolios managed by the visited Delegations.</p>	<p>Efficiency Indicators: total (average) mission cost of supervisors compared with benefits (ratio). Average mission cost per million EUR of payments managed.</p> <p>Economy: mission cost of the controls of EU Delegations conducted by FPI staff (cost of staff not included). Average cost per mission/ Benefits: non-quantifiable.</p>
<p>The ex-post controls focus on the detection of external errors (e.g. made by beneficiaries) and do not consider any internal errors made by staff or embedded systematically in the own organisation.</p>	<p>Establish an ex-post supervision strategy: Carry out ex-post controls of systems and transactions in EU Delegations implementing ICI/PI projects Recommended: to be able to serve multiple purposes (e.g. for assurance as well as to give guidance and advice on ICI/PI systems and procedures)</p>	<p>Annual ex-post control plan of EU Delegations to visit based on comprehensive risk assessment. Desk review in case of high risk Delegations.) Depth: review of underlying checklists and documents relating to IfS commitments and payments.</p>	<p>Effectiveness: Number of administrative errors detected by the supervisors. Number of material findings. Value of material errors concerned. Detected error rate. Residual error rate. Average number of errors per Delegation.</p> <p>Efficiency Indicators: total (average) mission cost of supervisors compared with benefits (ratio). Average mission cost per million EUR of payments verified.</p> <p>Economy:</p>

Main risks <i>It may happen (again) that...</i>	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
			mission cost of the controls of EU Delegations conducted by FPI staff (cost of staff not included). Average cost per mission/ Benefits: value of the errors detected by the supervisors.

B - Implementing results from ex-post audits/controls

Main control objectives: Ensuring that the (audit) results from the ex-post controls lead to effective recoveries (legality & regularity; anti-fraud strategy); Ensuring appropriate accounting of the recoveries made (reliability of reporting).

Overall control efficiency indicator: percentage of cashed recoveries as of 31 March N+1.

Main risks <i>It may happen (again) that...</i>	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
The errors, irregularities and cases of fraud detected are not addressed or not addressed timely	Systematic registration of audit / control results to be implemented. Financial operational validation of recovery in accordance with financial circuits. Authorisation by AO	Coverage: 100% of final audit results <i>with a financial impact</i> . Depth: consider 'extending' the findings of systemic errors into corrections of non-audited projects by the same beneficiary	Effectiveness: Success ratio: % of value of the ROs over detected errors by the auditors after 1 year (not yet available). Number of suspected fraud cases transferred to OLAF. Analysis of financial control findings, internal control findings and other compliance findings per category of error. Number of occurrences per category of error detected.

Main risks <i>It may happen (again) that...</i>	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
			<p>Efficiency Indicators: Time-to-recovery (not yet determined).</p> <p>Economy: Loss value of such ROs which are 'waived' or have to be cancelled/ Benefits: value of the errors, detected by ex-post controls, which have actually been corrected (offset or recovered).</p>

ANNEX 7: Specific annexes related to "Financial Management"

A. Court of Auditors Annual report

Chapter 9 on Global Europe, common to DG DEVCO, NEAR, DG ECHO, DG TRADE and FPI in the 2019 Annual Report included one recommendation for FPI:

"No time-recording system - FPI

We audited expenditure declared by a non-profit organisation under a grant contract signed with the Commission. The action was aimed at preventing violent extremism on Tunisia's southern borders. The total estimated cost of the action was 1.2 million euros, funded entirely by the EU budget.

The supporting documents we examined showed that there was no time-recording system to justify the allocation of costs between different projects and substantiate the actual time worked on the project audited. This lack of audit trail meant that, out of a total audited amount of 970 000 euros, we were unable to verify the accuracy of 12 800 euros worth of expenditure, and this latter amount was therefore irregular. The absence of a time-recording system was also in breach of the contract terms and the beneficiary's internal policies.

In 2019, we also detected time-recording issues in another three transactions managed by DG NEAR, DG CLIMA and DG ECHO."

for which FPI's action plan was duly included in ECA 2019 Annual Report:

"FINAL REPLIES OF THE COMMISSION TO THE EUROPEAN COURT OF AUDITORS' 2019 ANNUAL REPORT "CONTRIBUTION TO ANNUAL REPORT 2019 – CHAPTER 8 – GLOBAL EUROPE" REGULARITY OF TRANSACTIONS [...]

In order to strengthen the internal control system of the FPI Middle East North Africa Regional Team (RT), follow up measures are being taken, including: (i) launch a full audit of the contract in question, (ii) increase the number of desk review checks performed by the RT, (iii) increase the number of financial on-the-spot missions and (iv) perform early ex-post controls. The Regional Team will also take measures at operational level: (i) conduct meetings and information sessions with implementing partners, (ii) send to implementing partners relevant information on EU funds management at the signature of contracts, (iii) ensure information contained in narrative reports and in monitoring missions matches the costs stated in the financial reports and (iv) reinforce its system of Third Party Monitoring."

From prior ECA Annual Reports two recommendations from prior ECA Annual Reports were still open at 31 December 2020:

- 2013/AUD/0164 (from DAS 2013) – where FPI is chef de file – which refers to the pillar assessment of the CFSP missions. FPI continues to work towards all CFSP missions being accredited (the so-called "pillar assessment"). Because of complimentary pillars created by Art. 154 of the 2018 Financial Regulation, the implementation of this recommendation is still on-going.
- 2019/AUD/0235 (from DAS 2019) – where FPI is associated DG to DG INTPA as chef de file – which refers to no time-recording system for a project in Tunisia (see §4.2

above). The implementation of this recommendation is still on-going mainly because the planned audit of the project is delayed due to technical problems.

The findings from the Court of Auditors regarding the first eight transactions audited for the 2020 Statement of Assurance were the following:

- Desk review of one transaction PI/2017/383-969 Cooperation on competition (CL-12224, 29/09/2020): no findings;
- Desk review of one transaction CFSP/2018/33/EUCAP Somalia (CL-12225, 1/10/2020): no findings;
- Desk review of one transaction CFSP/2017/31/EUAM Ukraine (CL-12388, 27/11/2020): no findings;
- Desk review of two transactions CFSP/2016/16/EUCAP Sahel Niger and CFSP/2017/25/EUCAP Sahel Niger (CL-12344, 3/02/2021): the Court dropped its intermediary findings after FPI has provided additional evidence.
- Desk review of one transaction PI/2015/368-469 with EU Delegation in India (CL-12553, 22/02/2021): no findings;
- Desk review of two transactions ICSP/2018/398-277 and ICSP/2017/387-698 with Regional Team Beirut (CL-12650, 16/03/2021): no findings.
- For the 2020 Statement of Assurance, were still on-going at the date of writing of the present report: Desk review of two transactions PI/2016/381-766 and PI/2016/379-837 (part of batch #4);
- Reliability of the Accounts 2020 System audit;
- Work on control systems.

B. Court of Auditors special reports

As regards Special Reports of the Court of Auditors, FPI was associated on 31 December 2019 (with the EEAS being in the lead) to one performance audit of the ECA: "Special Report No 15 2018 on Strengthening the capacity of the internal security forces in Niger and Mali only limited and slow progress". Of the three recommendations referring to FPI in the Special Report, only one was still open in RAD in 2020 (2018/AUD/0063) whose closing took place on 22 January 2020 as the "Common Warehouse" and the Missions Support Platform were established.

- FPI was informed in 2020 of three audits touching upon FPI's responsibilities for which the Court addressed no formal written requests to FPI in 2020:
- The EU action plan against Disinformation;
- Rule of law in Ukraine / EU support to fight against grand corruption in Ukraine;
- EU support to SME internationalisation.

C. Information on IAS audits

IAS audits finalised in 2020

Audit on Pillar assessments in the External Relation Family

The audit included 3 recommendations addressed to FPI. The first recommendation (Recommendation 6 of the report classified as important) requested FPI to; a) correct in its Vade-mecum the incorrect/outdated information as well as align the terminology with that used in the Financial Regulation and the terms of reference for pillar assessment, and b) to specify (for example, in a note) the division of responsibilities among Service's Headquarters units and the tasks of the Headquarters' staff as regards pillar assessment.

The second recommendation (Recommendation 16 of the report classified as very important) requested FPI to; a) set out the responsibilities for monitoring of the communication of the substantive changes by the entities, the analysis of these changes and the identification of additional measures, when relevant, and b) to implement effectively the system/procedures when they will be introduced by DG BUDG.

The third recommendation (Recommendation 26 of the report -classified as very important) requested FPI to, for ongoing and future pillar assessments, a) use the correct template for pillar assessments; b) effectively use the possibilities at its disposal (e.g. participation in meetings, review of draft reports (their compliance with terms of reference, completeness) to ensure that pillar assessments are of a good quality and may be relied on for taking an informed decision on entrusting budget implementation tasks under indirect management; and c) adequately document the pillar assessment review process.

FPI has accepted all three recommendations and has established an Action Plan to address them. The action plan was sent to IAS on by the deadline of 16 February 2021. The recommendations are foreseen to be implemented during 2021.

In addition FPI was affected by the following recommendation:

RECOMMENDATION NO 18:

SERVICE RESPONSIBLE: DIRECTORATE-GENERAL FOR INTERNATIONAL PARTNERSHIPS

Directorate-General for International Partnerships should:

- Launch as soon as possible, and based on the support of all interested Services, the PA for the United Nations Secretariat covering both the procurement and grants pillar and apply supervisory measures on the United Nation Secretariat until both pillars are positively assessed.
- Launch as soon as possible, and based on the support of all interested Services, the PA for those entities that cannot rely on the United Nations Secretariat covering all

pillars except the three new pillars introduced by the 2019 terms of reference and impose supervisory measures on these entities until these pillars are positively assessed.

- On the basis of the outcome of the existing joined working group with the United Nations Secretariat, launch the assessment of the three new pillars introduced by the 2019 terms of reference in order to finalise it within the deadline set by the GRI fiche of November 2020.

Audit on Performance management in FPI

The audit included 4 recommendations.

Recommendation 1, classified as ‘Very important’, requested FPI to;

- a. Assign a central coordination role responsible for developing, monitoring and steering the performance management framework, together with sufficient human resources to carry out this function;
- b. Under the steering of the central coordination function, further develop the performance management framework, in order to cover all activities under FPI control in a coherent manner; and
- c. Rationalise the use of outsourcing contracts by centrally collecting the needs of units and pooling contracts across all relevant units with similar needs wherever possible.

Recommendation 2, classified as ‘Important’, : requested that FPI should;

- a. when developing the next Multiannual Indicative Programmes, ensure that objectives and expected results are as specific as possible and indicators are aligned with the FPI Results Framework, robust, easy to monitor and measure outcome/result rather than output;
- b. Improve the quality controls on the definition of objectives and indicators for the actions, to ensure SMART objectives, RACER indicators and maximum possible alignment of action-level indicators with the FPI Results Framework;
- c. Further revise the set of IcSP result/outcome indicators, to further increase (wherever possible) their applicability to individual actions as well as their ability to monitor the performance of the IcSP at instrument level;
- d. Ensure that the EOM core outcome/result indicators in the FPI Results Framework measure outcome/result (as much as feasible) and not only outputs;
- e. For CFSP, FPI should develop pertinent indicators that reflect FPI’s responsibilities.

Recommendation 3, classified as ‘Important’, requested that FPI should;

- a. Complete the FPI Manual with guidance on how to collect data for monitoring and the monitoring process to assess the achievement of objectives through indicators and make sure that the operational units use it; Introduce action-level monitoring of the achievement of the objectives for EOM and CFSP that are under FPI’s control; Introduce an FPI-wide approach/system for monitoring at instrument level, considering good practices from PI monitoring, to enable reporting on the FPI Results Framework (within FPI’s remit for EOM and CFSP);

- b. d) Introduce a lean quality control of the calculation of indicators included in the AAR and the Programme Statements, at the level of the operational units before their submission to FPI.1 for reporting.

Recommendation 4, classified as 'Important', : requested that FPI should:

- a. Clarify the guidance in the FPI Manual on how evaluation results should be used in practice as a contribution to performance monitoring and on sharing of evaluation results with external stakeholders and ensure the guidance is implemented;
- b. Ensure consistent practices between FPI staff based in HQ, regional teams and EU delegations for the follow-up of action- and sector-level evaluation report recommendations and for documenting the evaluations and follow ups in the EVAL module.

FPI accepted all four recommendations and established an Action Plan to address them. It is expected that the recommendations will be fully implemented during 2021.

Follow-up to IAS audits: finalised before 2020

In 2020 FPI implemented the last open recommendation from the 2018 IAS audit of the Partnership Instrument. This recommendation (classified as important), requested FPI to ensure that best practices in managing PI projects are consistently applied. It also requested to analyse the results of the combined external evaluation of the Public Diplomacy methodology and the perception study in order to better inform decision-making, and disseminate the results of the analysis to the initiators of Public Diplomacy actions.

In addition FPI implemented the 2 recommendations addressed to FPI in the Multi-DG audit on EC-EEAS Coordination. The two recommendations concerned, a) project-level coordination, and b) update of working arrangements.

Further, FPI in 2020 implemented the last open recommendation from the 2016 audit on IcSP. This recommendation concerned the guidance to, and supervision of, EU delegations.

Finally FPI implemented two of the four recommendations included in the 2018 audit on CFSP. The closed recommendations concerned a) the closure of mandates of CSDP missions, and b) mitigating measures applied for EUCAP Somalia. As concerns the implementation of the remaining two recommendations on 1) monitoring missions for CSDP missions and guidelines for the follow-up phase, and 2) Anti-fraud measures for CSDP, the implementation is progressing well and full implementation is expected by 31 March 2021.

The status of the open recommendations does not have a material impact on the assurance in the AAR 2020.



Table Y - Overview of DG's/EA's estimated cost of controls at Commission (EC) level:

The absolute values are presented in million EUR.

Title of the Relevant Control System (RCS)	Ex ante controls			Ex post controls			Total	
	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)
	EC total costs	related funds managed/concerned*	Ratio (%) <i>(a)/(b)</i>	EC total costs	total value verified and/or audited	Ratio (%) <i>(d)/(e)</i>	EC total estimated cost of controls <i>(a)+(d)</i>	Ratio (%) <i>(g)/(b)</i>
Common Foreign and Security Policy (CFSP)	0.97	389.35	0.25%	0.30	59.09	0.50%	1.27	0.33%
Instrument contributing to Stability and Peace (IcSP)	1.08	306.59	0.35%	0.36	38.69	0.94%	1.44	0.47%
Partnership Instrument (ICI/PI)	0.57	106.35	0.53%	0.29	11.12	2.63%	0.86	0.81%
Election Observation Missions (EOMs)	0.17	9.55	1.80%	0.24	5.55	4.29%	0.41	4.29%
OVERALL total estimated cost of control at EC level	2.79	811.84	0.34%	1.19	114.44	1.04%	3.98	0.49%

ANNEX 8: Specific annexes related to "Assessment of the effectiveness of the internal control systems"

The annex is not applicable for the Service for Foreign Policy Instruments as all the information building up assurance on this topic has been presented in section 2.1.3 of this Annual Activity Report.

ANNEX 9: Reporting – Human resources, digital transformation and information management and sound environmental management

A. Human resource management

Objective: FPI employs a competent and engaged workforce and contributes to gender equality at all levels of management to effectively deliver on the Commission's priorities and core business			
Indicator 1: Number and percentage of first female appointments to middle management positions			
Source of data:			
Baseline (2019)	Target (2022)	Latest known results (Feb-Dec 2020)	
Female representation in management on 1 December 2019: 50%, 2 out of 4	1 first female appointment to middle management by 2022	1 first female appointment to middle management took place already in 2020. This represented 33% first female appointments to middle management positions. Current ratio male/female: 50/50	
Main outputs in 2020:			
Description	Indicator	Target	Latest known results
Further optimised use of human resources allocated to the FPI	Vacancy rates	Vacancy rates below the Commission average	31/12/2020: 12 month average 0,9 % (EC average 3,1 %)
Well-trained staff	Implement 2020 Learning & Development Strategy	Full implementation by end 2020	In spite of the pandemic, 75 % of the actions were implemented
Streamlined approach to integrating	Newcomers Guide	1 st semester 2020	Newcomers guide developed and

newcomers			implemented
Further reinforced wellbeing of staff	Further reinforced wellbeing of staff	Improved wellbeing rates in staff survey	No new data available
Established structure of the EPF and a streamlined approach to recruitment of its staff	Updated organisation chart to reflect responsibilities relating to the implementation of the European Peace Facility, with relevant recruitment procedures	December 2021	New organisation chart as of 01/01/2021: 3 additional units to accommodate for the transfer of the former IcSP art. 5 from DEVCO to FPI and the launch of the European Peace Facility
Indicator 2: FPI staff engagement index			
Source of data: Commission staff survey [data to be provided by DG HR]			
Baseline (Staff Survey 2018)	Target (2024)	Latest known results (2020-2021 Pulse Survey 13+14)	
72% (Commission average 69.0%)	>72%	69% (net decrease - 4%, Commission average 68.7%)	

B. Sound financial management

Objective: The authorising officer by delegation has reasonable assurance that resources have been used in accordance with the principles of sound financial management, and that cost-effective controls are in place which give the necessary guarantees concerning the legality and regularity of underlying transactions

Indicator: Estimated risk at closure

Source of data: AAR

Baseline (2019)	Target (2024)	Latest known results (2020)
1.0 % of relevant expenditure	< 2% of relevant expenditure	0.62%

C. Fraud risk management

Objective: The risk of fraud is minimised through the application of effective anti-fraud measures and the implementation of the Commission Anti-Fraud Strategy⁴² aimed at the prevention, detection and correction⁴³ of fraud

Indicator: Implementation of the actions included in FPI's anti-fraud strategy over the whole strategic plan lifecycle (2020-2024)

Source of data: FPI's annual activity report, FPI's anti-fraud strategy, OLAF reporting

Baseline (2020)	Target (2024)	Latest known results (please indicate the corresponding year)
Adoption of the revised AFS in	Update in 2021 of the revised AFS adopted in May 2020 to take into account the 2021	Revised FPI AFS adopted in May 2020

⁴² Communication from the Commission 'Commission Anti-Fraud Strategy: enhanced action to protect the EU budget', COM(2019) 176 of 29 April 2019 – 'the CAFS Communication' – and the accompanying action plan, SWD(2019) 170 – 'the CAFS Action Plan'.

⁴³ Correction of fraud is an umbrella term, which notably refers to the recovery of amounts unduly spent and to administrative sanctions.

2020	reorganisation of FPI		
Main outputs in 2020:			
Description	Indicator	Target	Latest known results 2020
Adoption of revised AFS Increased anti-fraud	Text adopted	Text to be adopted in 2020	Revised FPI AFS adopted in May 2020
Awareness amongst FPI staff	All staff trained	100%	All staff trained (6 sessions)
Increased anti-fraud awareness amongst CSDP Missions, EU Special Representatives (EUSRs) and the Kosovo Specialist Chambers (KSC)	As per Objective No 4 of Action Plan	100%	The new FPI AFS applies, not only to all CSDP Missions, but also, for the first time, to all EUSRs and the KSC
Completion of actions under the FPI AFS Action Plan		100%	Anti-fraud single contact points have been designated in all CSDP Missions, EUSRs and KSC
Completion of actions under the FPI AFS Action Plan		99%	Anti-fraud single contact points trained (1 training session)
Completion of actions under the FPI AFS Action Plan	As per Action Plan	100%	All the actions were adopted. Action 29 of the CAFS that concerns FPI, HOME and ECHO has been postponed to

			2021 by OLAF
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D. Digital transformation

Objective: FPI is using innovative, trusted digital solutions for better policy-shaping, information management and administrative processes to forge a truly digitally transformed, user-focused and data-driven Commission

Indicator 1: Degree of implementation of the digital strategy principles by the most important IT solutions⁴⁴

Source of data: FPI.5, DG DEVCO R4

Baseline (2018)	Interim milestone (2022)	Target (2024)	Latest known results 2020
0%	78%	95%	78%

Indicator 2: Percentage of FPI's key data assets⁴⁵ for which corporate principles for data governance⁴⁶ have been implemented

Source of data: FPI

Baseline (2019)	Interim milestone (2022)	Target (2024)	Latest known result 2020
50%	50%	80%	50 %

⁴⁴ The European Commission Digital Strategy (C(2018)7118) calls on Commission services to digitally transform their business processes by developing new innovative digital solutions or make evolve the existing ones in line with the principles of the strategy. At the beginning of the year N+1, the Solution Owner and IT Investments Team will assess the progress made on the basis of the proposed modernisation plan. For each of the 3 solutions, a table will reflect – per principle - the progress achieved during the last year.

⁴⁶ This indicator follows up on the progress of services in implementing corporate data governance and data policies for their key data assets included in the EC data inventory. In summary, this means that for each key data asset, services should assess if the following principles have been respected:

- Identify and designate the data owner and the data steward(s).
- Instruct their data stewards to share the metadata of their data assets in the Commission's data catalogue and to keep them up to date.
- Design and document processes for data collection/creation, acquisition, access, sharing, use, processing preservation, deletion, quality, protection and security. Information concerning these processes should be made available to anyone interested, as long as any confidentiality restrictions are respected.
- Make any necessary changes and updates to the IT systems used for storing, managing and disseminating these data assets to implement the aforementioned requirements and processes.

A data governance hub will shortly offer a single point of access on the intranet for related guidance and information. It will be complemented by further practical guidance in the course of 2020.

Indicator 3: Percentage of staff attending awareness raising activities on data protection compliance			
Source of data:			
Baseline (2018)	Interim milestone (2020)	Target (2024)	Latest known results (2020)
0%	100% of management 100% of data protection focal points 50% of staff	100% of management 100% of data protection focal points 100% of staff	100% of management 100% of data protection focal points 50% of staff

ANNEX 10: Implementation through national or international public-sector bodies and bodies governed by private law with a public sector mission (if applicable)

This annex, which applies only to **indirect management**, includes information about implementing tasks entrusted to national or international public sector bodies and bodies governed by private law with a public sector mission. In practice, this includes "national agencies" and bodies governed by private law with a public sector mission including PPPs (but not executive agencies, regulatory agencies, EIB and EIF).

In 2020, 45 delegation agreements under indirect management were signed for a total amount of EUR 164.3 million.

The majority of the delegation agreements was signed with UN agencies (32 delegation agreements for a total amount of EUR 84.4 million). Nine delegation agreements were signed with International Organization for Migration (EUR 54.7 million) and remaining 4 (EUR 25.2 million) with other international organisations.

The most important reasons for selecting a specific implementing partner are:

1. Expertise in the concerned areas
2. Presence / capacity to mobilise in the field
3. Entity identified in the basic act (Council Decision)

The cost of administration (management fee) related to indirect management (entrusted entities) is estimated at EUR 11 million in 2020. To be noted that only a portion of that fee covers the costs of controls of the entrusted entity. These control costs are not included in the total cost of control activities performed at Headquarters that are presented in the table under cost-effectiveness and efficiency (point 2.1.1 Control Results).

Program	Project ID	Amount	Project title	Duration (month)	LE Official Name	Justification of the selection of the bodies	Summary description of the implementing tasks entrusted to these bodies	Justification of the recourse to indirect management
ICSP	SCR.CTR.410212.01	2.000.000,00	ICSP/2019/410-212-STRENGTHENING CAPACITIES FOR CRISIS ASSESSMENT AND RECOVERY PLANNING	36	UNITED NATIONS DEVELOPMENT PROGRAMME*PROGRAMME DES NATIONS UNIES POUR LE DEVELOPPEMENT	Continuation of an existing programme	To assist national and regional stakeholders to improve their abilities to prepare, assess, plan, implement and sustain recovery and peacebuilding activities.	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
PI	SCR.CTR.410348.01	1.000.000,00	PI/2019/410-348-BUSINESS AND HUMAN RIGHTS IN ASIA: ENABLING SUSTAINABLE ECONOMIC	48	UNITED NATIONS DEVELOPMENT PROGRAMME*PROGRAMME DES NATIONS UNIES POUR LE DEVELOPPEMENT	Experience in the country/region	To promote the agenda on Business and Human Rights and ensure that it is further taken up by Asian governments and business, through an EU-UN partnership.	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.414684.01	2.000.000,00	ICSP/2020/414-684-SUPPORT TO THE IMPLEMENTATION OF THE MAPUTO ACCORD FOR PEACE	18	UNITED NATIONS OFFICE FOR PROJECT SERVICES*BUREAU DES NATIONS UNIES POUR LES SERVICES D'APPUI AUX PROJETS	Logistical and management capacities	To support: 1) The core operations of The Secretariat and 2) the disarmament and demobilisation process ensuring ex combatants receive necessary support in the transition towards their reintegration into the community.	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.

ICSP	SCR.CTR.415083.01	998.129,21	ICSP/2020/415-083-UN-EU COUNTER-TERRORISM PARTNERSHIP FOR SUDAN	21	UNITED NATIONS ORGANISATION*ORGANISATION DES NATIONS UNIES	Specific expertise	UN-EU Counter-Terrorism Partnership for Sudan	Pursuant to Article 62(1)(c) of the Financial Regulation, indirect management is to be used for the implementation of the Action (Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union)
ICSP	SCR.CTR.415135.01	3.500.000,00	ICSP/2020/415-135-DIGITIZATION OF EVIDENTIARY MATERIAL HELD BY IRAQI NATIONAL	18	UNITED NATIONS ORGANISATION*ORGANISATION DES NATIONS UNIES	Specific expertise	Digitization of evidentiary material held by Iraqi national authorities in support of investigative work of the United Nations Investigative Team to promote accountability for crimes committed by ISIL / Da'esh	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.415522.01	4.000.000,00	ICSP/2020/415-522-PROMOTING A MORE STABLE AND LESS VIOLENT ENVIRONMENT FOR ASYLUM	18	UNITED NATIONS HIGH COMMISSIONER FOR REFUGEES*HAUT COMMISSARIAT DES NATIONS UNIES POUR LES REFUGIES	Specific expertise	A stable and less violent environment provided for asylum seekers, refugees and other vulnerable persons of concern and host communities in Northern Mexico along the border with U.S.	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.415769.01	616.101,00	ICSP/2020/415-769-SUPPORT TO THE IMPLEMENTATION OF THE JUSTICE SECTOR REFORMS	12	UNITED NATIONS DEVELOPMENT PROGRAMME*PROGRAMME DES NATIONS UNIES POUR LE DEVELOPPEMENT	Logistical and management capacities	Support to the implementation of the Justice Sector Reforms Project	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046

								and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.415786.01	1.800.000,00	ICSP/2020/415-786- COMMUNITY BASED MINE ACTION AS A MEANS TO PROMOTE PEACE	18	UNITED NATIONS ORGANISATION*ORGANISATION DES NATIONS UNIES	Specific expertise	The action aims to enhance peace and stability by building local capacities to mitigate security and safety risks posed by explosive hazards.	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
PI	SCR.CTR.415880.01	1.200.000,00	PI/2020/415-880- BUILDING PARTNERSHIPS ON THE FUTURE OF WORK - ILO	24	INTERNATIONAL LABOUR ORGANIZATION*ORGANISATION INTERNATIONALE DU TRAVAIL	International mandate/regular contribution/membership or similar fees	To contribute to shaping the future world of work in the interest of EU citizens, societies and economies.	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.416392.01	2.400.000,00	ICSP/2020/416-392- BUILDING COMMUNITY RESILIENCE IN RAKHINE STATE TO REDUCE THE THREAT OF COMMUNAL VIOLENCE AND THE RISKS OF IRREGULAR MIGRATION	15	INTERNATIONAL ORGANIZATION FOR MIGRATION	Specific expertise	To contribute to conflict mitigation and the prevention of unsafe or irregular migration from communities in Rakhine, through the enhancement of the resilience of vulnerable communities.	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.

ICSP	SCR.CTR.416870.01	8.000.000,00	ICSP/2020/416-870- ADDRESSING COVID- 19 CHALLENGES WITHIN THE MIGRANT AND REFUGEE	15	INTERNATIONAL ORGANIZATION FOR MIGRATION	Specific expertise	Addressing COVID-19 Challenges within the Migrant and Refugee Response in the Western Balkans	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.417037.01	2.000.000,00	ICSP/2020/417-037- CULTURA DE LA PAZ Y FORTALECIMIENTO DEL ÓRGANO ELECTORAL PLURINACIONAL	18	UNITED NATIONS DEVELOPMENT PROGRAMME*PROGRAMME DES NATIONS UNIES POUR LE DEVELOPPEMENT	Neutrality/security reasons	El objetivo es de contribuir al fortalecimiento del proceso electoral y democrático en Bolivia (Estado Plurinacional).	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.417114.01	7.000.000,00	ICSP/2020/417-114- PREVENTING VIOLENT EXTREMISM THROUGH PROMOTING TOLERANCE	36	UNITED NATIONS DEVELOPMENT PROGRAMME*PROGRAMME DES NATIONS UNIES POUR LE DEVELOPPEMENT	Specific expertise	To strengthen the ability of Southeast Asian countries in general, and participating countries in particular, to identify, respond to and prevent violent extremism.	Pursuant to Article 62(1)(c) of the Financial Regulation, indirect management is to be used for the implementation of the Action (Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union)

ICSP	SCR.CTR.417192.01	2.500.000,00	ICSP/2020/417-192-CORONAVIRUS FACTS: ADDRESSING THE 'DISINFODEMIC' ON COVID-19	12	UNITED NATIONS EDUCATIONAL SCIENTIFIC AND CULTURAL ORGANIZATION*ORGANISATION DES NATIONS UNIES POUR L'EDUCATION LA SCIENCE ET LA CULTURE	Specific expertise	Coronavirus Facts: Addressing the 'Disinfodemic' on COVID-19 in conflict-prone environments	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.417228.01	4.000.000,00	ICSP/2020/417-228-ENHANCING UNRWA PREPAREDNESS AND RESPONSIVENESS TO COVID-19	12	UNITED NATIONS RELIEF AND WORKS AGENCY FOR PALESTINE REFUGEES IN THE NEAR EAST*OFFICE SECOURS TRAVAUX REFUGIES PALESTINE PROCHE ORIENT	Specific expertise	Enhancing UNRWA preparedness and responsiveness to COVID-19 in the Gaza Strip and West Bank	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.417269.01	149.388,05	ICSP/2020/417-269-SUPPORT THE REINTEGRATION OF SEXUAL VIOLENCE SURVIVORS	6	UNITED NATIONS OFFICE FOR PROJECT SERVICES*BUREAU DES NATIONS UNIES POUR LES SERVICES D'APPUI AUX PROJETS	Logistical and management capacities	SUPPORT THE REINTEGRATION OF SEXUAL VIOLENCE SURVIVORS	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.417297.01	2.000.000,00	ICSP/2020/417-297-SUPPORTING THE UN-FACILITATED SYRIAN CONSTITUTIONAL COMMITTEE	18	UNITED NATIONS ORGANISATION*ORGANISATION DES NATIONS UNIES	Neutrality/security reasons	To ensure that a credible, balanced and inclusive Syrian-owned and led Constitutional Committee, convened under UN auspices and facilitated by the UN Special Envoy for Syria, has the means to prepare and draft for popular approval	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.

ICSP	SCR.CTR.417427.01	15.000.000,00	ICSP/2020/417-427-DARYEEL: STABILISATION SUPPORT TO FRAGILE AREAS OF SOMALIA II	36	INTERNATIONAL ORGANIZATION FOR MIGRATION	Specific expertise	Addressing the most urgent stabilisation needs in Somalia,	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.417442.01	1.000.000,00	ICSP/2020/417-442-ENHANCE PROTECTION OF NICARAGUAN ASYLUM-SEEKERS AND REFUGEES	18	UNITED NATIONS HIGH COMMISSIONER FOR REFUGEES*HAUT COMMISSARIAT DES NATIONS UNIES POUR LES REFUGIES	Specific expertise	To enhance protection of persons of concern, including by ensuring access to the territory and the RSD process, improving the effectiveness and efficiency of the asylum system, and strengthening the network of safe housing options.	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.417482.01	3.000.000,00	ICSP/2020/417-482-PROMOTING RESPONSIBLE MINERAL SUPPLY CHAINS IN CONFLICT-AFFECTED	36	ORGANISATION FOR ECONOMIC CO-OPERATION AND DEVELOPMENT*ORGANISATION DE COOPERATION ET DE DEVELOPPEMENT ECONOMIQUES OCDE	Continuation of an existing programme	Promoting Responsible Mineral Supply Chains in conflict-affected and high-risk areas- Phase III	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.417510.01	1.328.348,00	ICSP/2020/417-510-ADVANCING WOMEN'S PARTICIPATION IN THE SYRIAN POLITICAL PROCESS	18	UNITED NATIONS ENTITY FOR GENDER EQUALITY AND THE EMPOWERMENT OF WOMEN	Specific expertise	Advancing Women's Participation in the Syrian Political Process	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.

ICSP	SCR.CTR.417540.01	11.000.000,00	ICSP/2020/417-540-HARMONIZATION AND SOCIAL COHESION PROGRAMME ACROSS TURKEY	18	INTERNATIONAL ORGANIZATION FOR MIGRATION	Specific expertise	Harmonization and Social Cohesion Programme Across Turkey	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.417580.01	1.666.075,86	ICSP/2020/417-580-SOCIAL COHESION AND PEACEBUILDING IN THE CONTEXT OF NOVEL CORONAVIRUS	18	UNITED NATIONS CHILDREN'S FUND*LE FONDS DES NATIONS UNIES POUR L'ENFANCE	Specific expertise	Social Cohesion and Peacebuilding in the Context of Novel Coronavirus	Pursuant to Article 62(1)(c) of the Financial Regulation, indirect management is to be used for the implementation of the Action (Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union)
ICSP	SCR.CTR.417879.01	4.920.000,00	ICSP/2020/417-879-ENHANCING THE CAPACITY OF THE GOVERNMENT OF IRAQ AT TREBIL BORDER POINT	18	INTERNATIONAL ORGANIZATION FOR MIGRATION	Specific expertise	Enhancing the Capacity of the Government of Iraq at Trebil Border Point	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
PI	SCR.CTR.418031.01	397.709,00	PI/2020/418-031-IMPLEMENTING THE UNITED NATIONS RESOLUTION ON TORTURE-FREE TRADE	12	UNITED NATIONS HIGH COMMISSIONER FOR HUMAN RIGHTS*HAUT COMMISSARIAT DE NATIONS UNIES AUX DROITS DE L'HOMME	International mandate/regular contribution/membership or similar fees	To implement the UN General Assembly Resolution 73/304: "Towards torture-free trade: examining the feasibility, scope and parameters for possible common	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046

							international standards".	and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.418048.01	2.999.112,00	ICSP/2020/418-048-RENFORCEMENT DE LA RÉPONSE COVID19 À KINSHASA GRÂCE À L'AMÉLIO	9	INTERNATIONAL ORGANIZATION FOR MIGRATION	Specific expertise	Renforcement de la réponse COVID19 à Kinshasa grâce à l'amélioration de la préparation et de l'efficacité de la police nationale congolaise	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.418051.01	2.000.000,00	ICSP/2020/418-051-ENHANCING SUCCESSFUL REINTEGRATION OF VETERANS	16	INTERNATIONAL ORGANIZATION FOR MIGRATION	Specific expertise	Enhancing Successful Reintegration of Veterans from the Conflict in Eastern Ukraine and their Family Members	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
PI	SCR.CTR.418068.01	4.500.000,00	PI/2020/418-068-KAMI-SUSTAINABILITY OF MALAYSIAN AND INDONESIAN PALM OIL	36	EUROPEAN FOREST INSTITUTE	Specific expertise	To reinforce EU-Indonesia and EU-Malaysia partnerships by supporting national processes and international dialogue on the sustainable use of natural resources, with specific focus on palm oil.	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.

ICSP	SCR.CTR.418085.01	3.600.000,00	ICSP/2020/418-085- PREVENTING TENSIONS BETWEEN REFUGEES FROM SYRIA AND HOST COMMUNITIES	12	UNITED NATIONS HIGH COMMISSIONER FOR REFUGEES*HAUT COMMISSARIAT DES NATIONS UNIES POUR LES REFUGIES	Specific expertise	To prevent further inter-community tensions between Syrian refugees in Lebanon and their host communities, through strengthening the capacity of the national system to treat COVID-19 cases.	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.418091.01	3.999.446,00	ICSP/2020/418-091- RENFORCEMENT DE LA RÉSILIENCE DES COLLECTIVITÉS TERRITORIALES	18	UNITED NATIONS HUMAN SETTLEMENTS PROGRAMME*PROGRAMME DES NATIONS UNIES POUR LES ETABLISSEMENTS HUMAINS	Specific expertise	Ce projet vise à mettre en œuvre des interventions contribuant à la construction d'une résilience des collectivités territoriales face aux conséquences de la crise liée aux afflux massifs des populations sur leur espace territorial.	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.418227.01	3.000.000,00	ICSP/2020/418-227- ADOLESCENTS AND YOUTH AS AGENTS OF CHANGE FOR PEACE IN ROHINGY	18	UNITED NATIONS CHILDREN'S FUND*LE FONDS DES NATIONS UNIES POUR L'ENFANCE	Specific expertise	To enhance social cohesion and resilience among Rohingya and Bangladeshi adolescents and youth by empowering them as agents of change, to create aspirations towards a better life and to prevent negative and violent behaviours.	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.

ICSP	SCR.CTR.418314.01	3.850.000,00	ICSP/2020/418-314- PROMOTING PEACEFUL COEXISTENCE IN THE COVID-19 RESPONSE FOR MIGRANTS, REFUGEES AND OTHER VULNERABLE POPULATIONS IN CENTRAL AMERICA AND THE CARIBBEAN	18	INTERNATIONAL ORGANIZATION FOR MIGRATION	5. Specific expertise	To promote integration and peaceful coexistence by improving the health response, community engagement and the protection environment of affected communities. The geographic focus of the Action is Central America and the Caribbean, particularly El Salvador, Honduras, Nicaragua, Panama, and Haiti.	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.418329.01	5.750.000,00	ICSP/2020/418-329- STRENGTHENING THE RESILIENCE OF VULNERABLE POPULATIONS (MIGRAN REFUGEES, IDPS, RETURNEES, ETC.) AND THE HEALTH RESPONSE TO THE COVID-19 EMERGENCY	18	UNITED NATIONS HIGH COMMISSIONER FOR REFUGEES*HAUT COMMISSARIAT DES NATIONS UNIES POUR LES REFUGIES	Specific expertise	To promote integration and peaceful coexistence by improving the health response, community engagement and the protection environment of affected communities. The geographic focus of the Action is Latin America, particularly Brazil, Colombia, Ecuador and Venezuela.	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.419179.01	4.500.000,00	ICSP/2020/419-179- CONTRIBUTING TO THE MITIGATION OF CONFLICT OVER NATURAL RESOURCES BETWEEN FARMER AND HERDER COMMUNITIES IN ADAMAWA STATE	18	INTERNATIONAL ORGANIZATION FOR MIGRATION	Specific expertise	The main objective of this contract is to contribute to the mitigation of conflict over natural resources between farmers and herders communities in the Adamawa State of Nigeria, through a comprehensive and integrated approach.	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.

ICSP	SCR.CTR.419259.01	1.999.876,00	ICSP/2020/419-259-CULTURAL HERITAGE AS A DRIVER FOR INTERCOMMUNITY DIALOGUE	24	UNITED NATIONS DEVELOPMENT PROGRAMME*PROGRAMME DES NATIONS UNIES POUR LE DEVELOPPEMENT	Specific expertise	To improve inter-community trust through cultural heritage protection, ultimately leading to social cohesion.	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.419767.01	550.000,00	ICSP/2020/419-767-LIBYAN ELECTRICITY SECTOR STABILIZATION AND TRANSITION SUPPORT	18	UNITED NATIONS DEVELOPMENT PROGRAMME*PROGRAMME DES NATIONS UNIES POUR LE DEVELOPPEMENT	Presence in the country/region	To support the Libyan state operated and owned utilities and ministries to maintain critical electricity and power dependent water supply services, thereby underpinning national stability and welfare.	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.420922.01	2.200.000,00	ICSP/2020/420-922-PROYECTO DE APOYO AL CICLO ELECTORAL EN HONDURAS PARA ELECCION	18	UNITED NATIONS DEVELOPMENT PROGRAMME*PROGRAMME DES NATIONS UNIES POUR LE DEVELOPPEMENT	Specific expertise	To contribute to the realization of peaceful, inclusive, transparent and credible elections in Honduras in order to remedy exceptional and unforeseen situations.	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.421729.01	3.000.000,00	ICSP/2020/421-729-SOCIAL MEDIA 4 PEACE	36	UNITED NATIONS EDUCATIONAL SCIENTIFIC AND CULTURAL ORGANIZATION*ORGANISATION DES NATIONS UNIES POUR L'EDUCATION LA SCIENCE ET LA CULTURE	Specific expertise	To strengthen resilience of fragile societies to harmful content online by supporting the establishment of multi-stakeholder collaborations in three pilot countries (BiH, Kenya, Indonesia) and at the global level, while enhancing the	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.

							promotion of peace through digital technologies.	
ICSP	SCR.CTR.421791.01	4.000.000,00	ICSP/2020/421-791-DHUUSAMAREEB COMMAND CENTRE, SUPPORT TO THE RETURN OF STATE	18	UNITED NATIONS OFFICE FOR PROJECT SERVICES*BUREAU DES NATIONS UNIES POUR LES SERVICES D'APPUI AUX PROJETS	Logistical and management capacities	To improve the capacity of the Somali Security Forces to deploy and control areas outside of Mogadishu through strengthening its governance and democratic standards	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
ICSP	SCR.CTR.422220.01	1.900.000,00	ICSP/2020/422-220-ADDENDUM CONTRIBUTION AGREEMENT WITH UN PEACE BUILDING FUND	36	UNITED NATIONS DEVELOPMENT PROGRAMME*PROGRAMME DES NATIONS UNIES POUR LE DEVELOPPEMENT	Continuation of an existing programme	Contribution to the UN Multi donor fund for peacebuilding	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
CFSP	SI2.824423	11.819.605,20	CD (CFSP) 2019/2111 OF 09/12/2019 - CONTRACT "CFSP/2020/09/SEESAC V"	48	UNITED NATIONS DEVELOPMENT PROGRAMME*PROGRAMME DES NATIONS UNIES POUR LE DEVELOPPEMENT	Entity identified in the basic act (Council Decision)	To improve security in the South-East Europe region and in the Union by combatting the threat posed by illicit small arms and light weapons (SALW) and their ammunition in and from South-East Europe, Belarus and Ukraine.	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.

CFSP	SI2.834744	6.165.580,75	CFSP/2020/22/CTBTO VIII - CD (CFSP) 2020/901 OF 29.06.2020 ON UNION SUPPORT FOR THE ACTIVITIES OF THE PREPARATORY COMMISSION FOR THE CTBTO	36	THE PREPARATORY COMMISSION FOR THE COMPREHENSIVE NUCLEAR-TEST-BAN TREATY ORGANIZATION	Entity identified in the basic act (Council Decision)	To strengthen the capabilities of the CTBT monitoring and verification system and to strengthen the capabilities of the States Signatories to the CTBT to fulfil their verification responsibilities.	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
CFSP	SI2.836430	1.418.042,00	CD (CFSP) 2020/732 OF 02/06/2020 - CONTRACT "CFSP/2020/25/UNSGM"	36	UNITED NATIONS ORGANISATION*ORGANISATION DES NATIONS UNIES	Entity identified in the basic act (Council Decision)	To strengthen the capabilities of the UN Secretary General's Mechanism for investigation of the alleged use of chemical, biological and toxin weapons.	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
CFSP	SI2.839898	11.582.300,00	CFSP/2020/34/IAEA VIII - COUNCIL DECISION (CFSP) 2020/1656 OF 6 NOVEMBER 2020	36	INTERNATIONAL ATOMIC ENERGY AGENCY*	Entity identified in the basic act (Council Decision)	To contribute to global efforts to achieve effective nuclear security; assist in adherence to, and implementation of, relevant international legal instruments; and support the IAEA mandate to play a central role and enhance international cooperation in nuclear security.	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046 and the supervisory and support measures are in place as necessary.
CFSP	SI2.840122	2.059.838,00	CFSP/2020/38/EU ACTION IS SUPPORT OF UNVIM IN YEMEN	12	UNITED NATIONS OFFICE FOR PROJECT SERVICES*BUREAU DES NATIONS UNIES POUR LES SERVICES D'APPUI AUX PROJETS	Entity identified in the basic act (Council Decision)	The beneficiary will perform budget implementation tasks (acting as contracting authority concluding and managing contracts, carrying out payments, etc.)	The organisation complies with the conditions of points (a) to (d) of the first subparagraph of Article 154(4) of Regulation (EU, Euratom) 2018/1046; supervisory and support measures are

									in place as necessary.
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ANNEX 11: EAMR of the Union Delegations (if applicable)

Not applicable.

ANNEX 12: Decentralised agencies and/or EU Trust Funds (if applicable)

Not applicable.