

Brussels, 14.12.2020 COM(2020) 799 final

ANNEXES 1 to 6

## **ANNEXES**

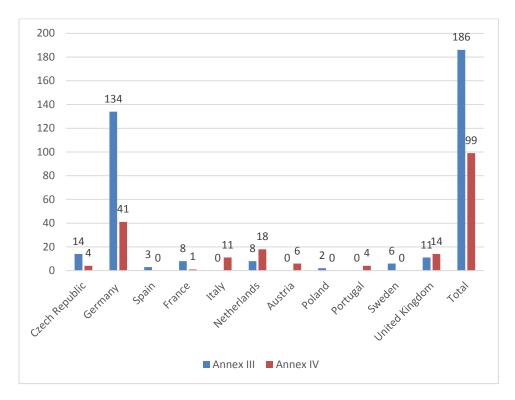
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## REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL

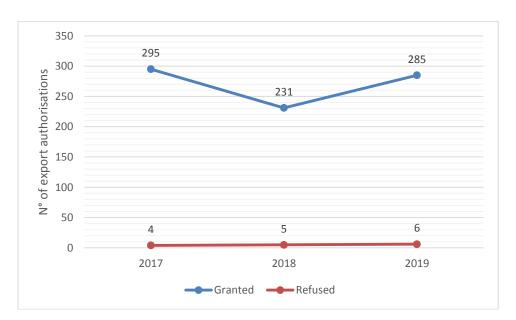
Report on export authorisation in 2019 pursuant to the Regulation concerning trade in certain goods which could be used for capital punishment, torture or other cruel, inhuman or degrading treatment or punishment

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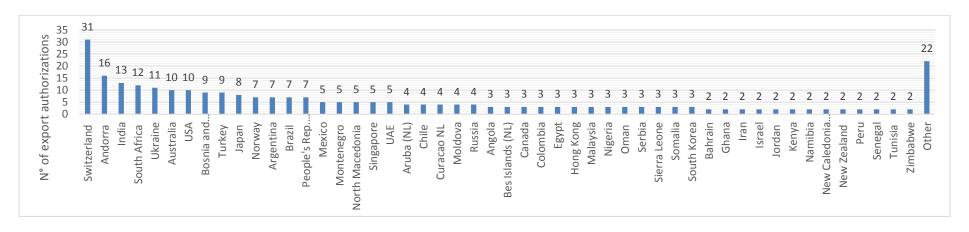
Annex 1: Number of reported export authorisations issued by Member States pursuant to Article 20(2) of Regulation (EU) 2019/125 by Annex entry



Annex 2: Number of reported export authorisations issued by Member States over the period 2017–2019



Annex 3: Reported destinations<sup>1</sup> of authorised exports in 2019<sup>2</sup>



<sup>1</sup> If a particular name is used in the list of destinations, it should not be construed as going beyond referring to a (customs) territory commonly known by that name.

<sup>&</sup>lt;sup>2</sup> 'Other destinations' include those to which one export authorisation only was reported, namely: Albania, Antarctic, Barbados, Belize, Central African Republic, Costa Rica, Cuba, Democratic Republic of the Congo, Falklands Islands, French Polynesia, Gibraltar, Iraq, Kosovo, Lebanon, Mali, Niger, Pakistan, Saudi Arabia, Saint Helena (UK), Sint Marteen, Sri Lanka, Thailand and Uruguay. One reported authorisation to one of the Channel Islands has not been accounted for the purposes of this report, as it does not qualify as an export within the meaning of Article 2(d) of Regulation (EU) 2019/125.

Annex 4:
Overview of reported authorised exports of Annex III goods in 2019

Code	Product Annex III	Destination	End use	Competent authority
1.1	Shackles and gang chains	Bahrain (2), Serbia (1), Argentina (1)	Law enforcement	CZ
		Montenegro (1), North Macedonia (4), Oman (1), Switzerland (1), United States (1)	End use not stipulated	DE
		Hong Kong (1), Australia (2), New Zealand (1)	Law enforcement	UK
1.2	Individual cuffs or rings fitted with a locking mechanism	Barbados (1)	Law enforcement	UK
2.1	Portable electric discharge weapons, including but not limited to electric shock batons, electric shock shields, stun guns and electric shock dart guns	Aruba (1), Moldova (1), Montenegro (1), Ukraine (1), UAE (1), Switzerland (1)	Law enforcement (Aruba), Traders	CZ
		New Caledonia (2), Central African Republic (1)	End use not stipulated	DE
		Andorra (1)	Law enforcement	ES
		Andorra (1), Senegal (1)	Private security firm	FR
		United States (1)	Other	UK

3.1	Portable weapons and equipment for administration or dissemination of a dose of an incapacitating or irritating chemical substance.	Aruba (1), Moldova (1), Montenegro (1), Ukraine (1), UAE (1), Switzerland (1)	Law enforcement (Aruba), Traders	CZ
		Andorra (9), Bosnia and Herzegovina (4), Chile (1), Democratic Republic of the Congo (1), French Polynesia (1), Japan (4), Kosovo (1), Cuba (1), Mali (1), Montenegro (2), Namibia (1), New Caledonia (2), North Macedonia (1), Pakistan (1), Moldova (1), Switzerland (11) Serbia (1), Somalia (3), South Africa (7), Tunisia (1), Uruguay (1), United States (1), China (2).	End use not stipulated	DE
		Andorra (2)	Law enforcement	ES
		Senegal (1), Andorra (2), Niger (1)	Private security firm, law enforcement (customs) (Niger)	FR
		United Arab Emirates (2)	Private security firm	PL
		Andorra, Australia, Switzerland, South Africa, United States (total 6)	Other	SE
		Australia (1)	Law enforcement	UK

3.2	Pelargonic acid vanillylamide (PAVA)	Brazil (4), India (13), Japan (1), South Korea (3), Singapore (1), Sri Lanka (1), South Africa (1), Thailand (1), Turkey (2), China (3) (30)	End use not stipulated	DE
		Costa Rica (1), Gibraltar (1), United States (1)	Clinical and laboratory use (Costa Rica and US), law enforcement (Gibraltar)	UK
3.3	Oleoresin capsicum (OC)	Egypt (1), Argentine (2), Australia (1), Japan (1), Kenya (1), Russia (2), Switzerland (5), South Africa (1), Tunisia (1), Ukraine (4), China (1)	End-use not stipulated	DE
		Aruba (1), BES Islands (3), Curação (2), Sint Marteen (1) and global licence to referred destinations	Law enforcement	NL
3.4	3.4 Mixtures containing at least 0.3 % of PAVA by weight or OC and a solvent (pepper spray)	Brazil (1), Japan (1), Russia (1), Switzerland (4), Ukraine (5), United States (1), China (1).	End-use not stipulated	DE
		Saint Helena (1)	Law enforcement	UK
3.6	Fixed or mountable equipment for the dissemination of incapacitating or irritating agents covering a wide area	Switzerland (2), United Arab Emirates (1).	End use not stipulated	DE

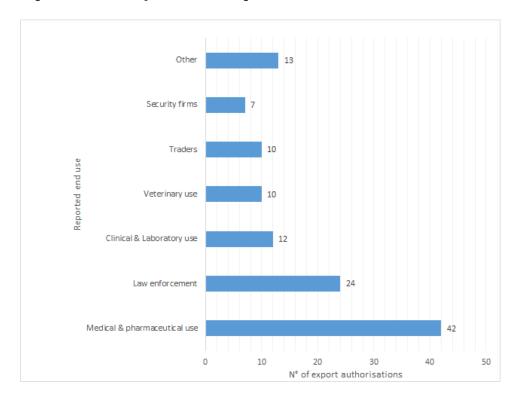
Annex 5: Detailed overview of reported authorised exports of Annex IV goods in 2019

Code	Product Annex IV	Destination	End use	Competent authority
1.1 (a)	Amobarbital	Norway (1) (Same authorisation is used for all listed goods exported from the UK destined for Norway).	Clinical and laboratory use	UK
1.1 ( c)	Pentobarbital	Norway	Clinical and laboratory use	UK
1.1 (d)	Pentobarbital sodium salt	Aruba (1), Canada (2), Curaçao (2), Hong Kong (2), Malaysia (1), Switzerland (2)	Veterinary use	NL
		United States (1)	Clinical and laboratory use	UK
1.1 ( e)	Secobarbital	Jordan (1), Norway, United States (1)	Clinical and laboratory use	UK
1.1 (g)	Thiopental	Saudi Arabia (1), Iran (1), Albania (1), Iraq (1)	Medical use	CZ
		Bosnia and Herzegovina (5), Serbia (1)	Medical use	AT
		Angola (3)	Medical/pharmaceutical use	PT
		Norway	Clinical and laboratory use	UK

1.1 (h)	Thiopental sodium salt	Lebanon (1)	Medical use	FR
		Argentina (2)	Medical/pharmaceutical use	IT
		Brazil (2)	Medical/pharmaceutical use	IT
		Mexico (2)	Medical/pharmaceutical use	IT
		Moldova (1)	Medical/pharmaceutical use	IT
		Turkey (4)	Medical/pharmaceutical use	IT
		Ghana (2), Malaysia (1), Sierra Leone (2), Singapore (3)	Medical use	NL
		Nigeria (3)	Medical/pharmaceutical use	PT
		Sierra Leone (1), Australia (3), Falkland Islands (1), Singapore (1), Zimbabwe (1)	Health care/medical use	UK
1.1	Other short and intermediate acting barbiturate anaesthetic agents	Egypt (2), Antarctic (1), Argentina (2), Australia (2), Chile (3), Iran (1), Israel (2), Japan (1), Jordan (1), Canada (1), Kenya (1), Colombia (3), Malaysia (1), Mexico (3), Namibia (1), Norway (2), New	End use not stipulated	DE

Zealand (1), Oman (2), Peru (2), Russia (1), Switzerland (2), Zimbabwe (1), South Africa (2), Turkey (3).		
Norway, United States (2), Belize (1), Switzerland (1)	Clinical and laboratory use (Norway, United States, Switzerland), veterinary use (Belize)	UK

Annex 6: Reported end-use of authorised exports to third countries in 2019<sup>3</sup>



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<sup>&</sup>lt;sup>3</sup> The number of export authorisation does not match the number referred to in in previous Annexes, as not all authorities in the Member States stipulate the end-use.